

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING CONTINUATION/DIVISIONAL  
APPLICATION UNDER 37 C.F.R. § 1.53(b)

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

jc893 U.S. PRO  
09/664588  
09/18/00

Sir:

This is a request for filing a ☐ continuation ☒ divisional application under 37 C.F.R. § 1.53(b) of pending Application No. 09/314,278 filed on May 18, 1999, for SUTURELESS CLOSURE AND DEPLOYMENT SYSTEM FOR CONNECTING BLOOD VESSELS, which claims priority to U.S. Application No. 09/132,711, filed August 12, 1998, by the following named inventor(s):

- (a) Full Name Jaime Vargas
- (b) Full Name Stephen A. Yenko
- (c) Full Name Jamey Nielsen
- (d) Full Name Michael Hendricksen
- (e) Full Name Bernard A. Hausen

☒ The entire disclosure of the prior application from which a copy of the oath or declaration is supplied herewith is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ This application is being filed by less than all the inventors named in the prior application. In accordance with 37 C.F.R. 1.63(d)(2), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application.

(a) Full Name \_\_\_\_\_

☐ This application is being filed by more than all the inventors named in the prior application. In accordance with 37 C.F.R. 1.63(d)(2), the Commissioner is requested to add the name(s) of the following person or persons who are inventors of the invention being claimed in this application.



21839

Request for Filing Continuation/Divisional Application  
of Application No. Divisional of 09/314.278  
Attorney's Docket No. 032405-016  
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(a) Full Name \_\_\_\_\_  
(b) Full Name \_\_\_\_\_

1. ☒ Enclosed is a copy of the prior Application No. 09/314.278 as originally filed on May 18, 1999, including copies of the specification, claims, drawings and the executed oath or declaration as filed.
2. ☐ Enclosed is a revised prior application and a copy of the prior executed oath or declaration as filed. No new matter has been added to the revised application.
3. ☒ One statement(s) claiming small entity status ☐ are enclosed ☒ was filed in prior Application No. 09/314.278, filed on May 18, 1999.
4. ☒ The filing fee is calculated below ☐ and in accordance with the enclosed preliminary amendment:

CLAIMS					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$690.00 (101)
Total Claims	7	MINUS 20 =	0	x \$18.00 (103) =	0.00
Independent Claims	1	MINUS 3 =	0	x \$78.00 (102) =	0.00
If multiple dependent claims are presented, add \$260.00 (104)					
Total Application Fee					690.00
If small entity status is claimed, subtract 50% of Total Application Fee					345.00
Add Assignment Recording Fee of if Assignment document is enclosed					
<b>TOTAL APPLICATION FEE DUE</b>					<b>345.00</b>

5. ☐ Charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
6. ☒ A check in the amount of \$ 345.00 is enclosed for the fee due.

7. ☒ The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.
8. ☒ Cancel in this application original claims 1-37 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
9. ☒ Amend the specification by inserting before the first line the sentence: --This application is a ☐ continuation, ☒ divisional, of Application No. 09/314,278, filed May 18, 1999, which claims priority to U.S. Application No. 09/132,711, filed August 12, 1998.--
10. ☐ Transfer the drawings from the pending prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate of this paper is enclosed for filing in the prior application file. (May only be used if signed by person authorized under 37 C.F.R. § 1.138 and before payment of issue fee.)
11. ☒ New drawings are enclosed.
12. ☐ Priority of Application No.      filed on      in      (country) is claimed under 35 U.S.C. § 119.
- ☐ The certified copy of the priority application
- ☐ is enclosed
- ☐ was filed on      in prior Application No.     , filed on
- ☐ has not yet been filed.
13. ☐ A preliminary amendment is enclosed.
14. ☒ An Information Disclosure Statement is enclosed.
15. ☐ A General Authorization for Payment of Fees and Petitions for Extensions of Time is enclosed.
16. ☒ Also enclosed Form PTO-1449.
17. ☒ The power of attorney in the prior application is to Burns, Doane, Swecker & Mathis, L.L.P.
- a. ☒ The power appears in the original papers in the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☐ Recognize as Associate Attorney     .

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of Application No. Divisional of 09/314,278  
Attorney's Docket No. 032405-016  
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- d. ☐ Address all future communications to: (May only be completed by applicant, or attorney or agent of record.)

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☒ attorney or agent of record  
☐ filed under 37 C.F.R. § 1.34(a)

"Express Mail" mailing label No. EL185317973US  
Date of Deposit May 18, 1999 I hereby certify that this paper or fee is being  
deposited with the United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:  
Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington,  
D.C. 20231..

  
Bernardo Caycedo

(Typed or printed name of person mailing paper or fee)

## **SUTURELESS CLOSURE AND DEPLOYMENT SYSTEM FOR CONNECTING BLOOD VESSELS**

### **BACKGROUND OF THE INVENTION**

#### **Field of the Invention**

The invention relates to an anastomosis device and method, and more particularly, the invention relates to an anastomosis device and a deployment  
5 system for forming a sutureless connection between two blood vessels.

#### **Brief Description of the Related Art**

Vascular anastomosis is a procedure by which two blood vessels within a patient are surgically joined together. Vascular anastomosis is performed during treatment of a variety of conditions including coronary artery disease, diseases of  
10 the great and peripheral vessels, organ transplantation, and trauma. In coronary artery disease (CAD) an occlusion or stenosis in a coronary artery interferes with blood flow to the heart muscle. Treatment of CAD involves the grafting of a vessel in the form of a prosthesis or harvested artery or vein to reroute blood flow around the occlusion and restore adequate blood flow to the heart muscle. This  
15 treatment is known as coronary artery bypass grafting (CABG).

In the conventional CABG, a large incision is made in the chest and the sternum is sawed in half to allow access to the heart. In addition, a heart lung machine is used to circulate the patient's blood so that the heart can be stopped and the anastomosis can be performed. In order to minimize the trauma to the patient

induced by conventional CABG, less invasive techniques have been developed in which the surgery is performed through small incisions in the patients chest with the aid of visualizing scopes. Less invasive CABG can be performed on a beating or stopped heart and thus may avoid the need for cardiopulmonary bypass.

5           In both conventional and less invasive CABG procedures, the surgeon has to suture one end of the graft vessel to the coronary artery and the other end of the graft vessel to a blood supplying vein or artery, such as the aorta. The suturing process is a time consuming and difficult procedure requiring a high level of surgical skill. In order to perform the suturing of the graft to the coronary artery  
10           and the blood supplying artery the surgeon must have relatively unobstructed access to the anastomosis sites within the patient. In the less invasive surgical approaches, some of the major anastomosis sites cannot be easily reached by the surgeon because of their location. This makes suturing either difficult or impossible without opening up the chest cavity.

15           An additional problem with CABG is the formation of thrombi and atherosclerotic lesions at and around the grafted artery, which can result in the reoccurrence of ischemia. Thrombi and atherosclerotic lesions may be caused by the configuration of the sutured anastomosis site. For example, an abrupt edge at the anastomosis site may cause more calcification than a more gradual transition.  
20           However, the preferred gradual transition is difficult to achieve with conventional suturing methods.

          Accordingly, it would be desirable to provide a sutureless vascular anastomosis device which easily connects a graft to a target vessel. It would also be desirable to provide a sutureless anastomosis device which is formed of one  
25           piece and is secured to the target vessel in a single step.

**SUMMARY OF THE INVENTION**

The present invention relates to an anastomosis device for connecting an end of a graft vessel to a target vessel. The anastomosis includes a first linkage formed of a plurality of struts and a plurality of axial members. The first linkage is expandable from a first configuration in which the first linkage is a substantially cylindrical shape to a second configuration in which the first linkage includes a first radially extending flange. A substantially cylindrical central connecting portion extends from the first linkage. A second linkage is configured to form a second radially extending flange spaced from the first radially extending flange.

In accordance with an additional aspect of the present invention, an anastomosis device for connecting an end of a graft vessel to a target vessel includes an expandable device formed from a plurality of struts and deformable from a first configuration in which the device is substantially tubular to a second configuration in which the device includes a first radial flange and a second radial flange spaced from the first radial flange a distance sufficient to accommodate a wall of a blood vessel. A first end of the expandable device includes a first linkage which changes from a substantially tubular configuration to a radially extending configuration to form the first flange upon radial expansion of the first end by an expander positioned in a center of the expandable device. A second end of the expandable device includes a second linkage which is configured to form the second radial flange upon deployment of the device.

In accordance with another aspect of the present invention, a method of performing anastomosis includes the steps of providing a one-piece tubular anastomosis device; everting an end of a graft vessel around the anastomosis device; puncturing a target vessel with a trocar; inserting the tubular anastomosis device with everted graft vessel into the puncture in the target vessel; radially

expanding the tubular anastomosis device with an expander to cause portion of the tube to fold outward forming a first annular flange; and forming a second annular flange on the anastomosis device to trap a wall of the target vessel between the first and second annular flanges and seal the graft vessel to the target vessel.

- 5 In accordance with a further aspect of the present invention, an anastomosis device deployment system includes a handle, a holder tube attached to the handle, and an expander positioned within the holder and slidable with respect to the holder to a position at which the expander is positioned within the anastomosis device to radially expand the anastomosis device. The holder tube has a distal end  
10 configured to hold the anastomosis device with an attached graft vessel.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

The invention will now be described in greater detail with reference to the preferred embodiments illustrated in the accompanying drawings, in which like elements bear like reference numerals, and wherein:

- 15 FIG. 1 is a perspective view of a first embodiment of an anastomosis device in a configuration prior to use with a graft vessel everted over the device;

FIG. 2 is a perspective view of the anastomosis device of FIG. 1 in a deployed configuration;

- 20 FIG. 3 is a perspective view of a second embodiment of an anastomosis device in a configuration prior to use with a graft vessel everted over the device;

FIG. 4 is a perspective view of the anastomosis device of FIG. 3 in a deployed configuration;

FIG. 5 is a perspective view of a third embodiment of an anastomosis device in a configuration prior to use with a graft vessel everted over the device;

- 25 FIG. 6 is a perspective view of the anastomosis device of FIG. 5 in a deployed configuration;



FIG. 7 is a perspective view of a fourth embodiment of an anastomosis device in a configuration prior to use with a graft vessel everted over the device;

FIG. 8 is a perspective view of the anastomosis device of FIG. 7 in a deployed configuration;

5        FIG. 9 is a perspective view of a fifth embodiment of an anastomosis device in a configuration prior to use with a graft vessel everted over the device;

FIG. 10 is a perspective view of the anastomosis device of FIG. 9 with a bottom flange in a deployed configuration;

10       FIG. 11 is a perspective view of the anastomosis device of FIG. 9 with a bottom flange and a top flange both in deployed configurations;

FIG. 12 is a side view of a portion of a sixth embodiment of an anastomosis device which has been laid flat for ease of illustration;

FIG. 13 is a side view of a portion of a seventh embodiment of an anastomosis device which has been laid flat for ease of illustration;

15       FIG. 14 is a perspective view of an anastomosis device deployment system;

FIG. 14A is an enlarged perspective view of the distal end of the anastomosis device deployment system of FIG. 14 with an anastomosis device prior to deployment;

20       FIG. 15 is a side cross sectional view of the anastomosis device deployment system puncturing the target vessel to advance the anastomosis device into the target vessel wall;

FIG. 16 is a side cross sectional view of the anastomosis device deployment system advancing the anastomosis device into the target vessel wall;

25       FIG. 17 is a side cross sectional view of the anastomosis device deployment system with an expanded first annular flange;

FIG. 18 is a side cross sectional view of the anastomosis device deployment system expanding a second annular flange;

FIG. 19 is a schematic side cross-sectional view of a deployment tool taken along line A-A of FIG. 14, the deployment tool is shown during a vessel puncturing step;

FIG. 20 is a schematic side cross-sectional view of the deployment tool of  
5 FIG. 19 shown during an anastomosis device insertion step;

FIG. 21 is a schematic side cross-sectional view of the deployment tool of FIG. 19 shown during an anastomosis device expansion step;

FIG. 22 is a schematic side cross-sectional view of the deployment tool of FIG. 19 shown after the anastomosis device has been fully deployed;

10 FIG. 23 is a perspective view of a eighth embodiment of an anastomosis device in a configuration prior to use;

FIG. 23A is a side view of a portion of the anastomosis device of FIG. 23 prior to folding a tab of the device inward;

FIG. 24 is a perspective view of the anastomosis device of FIG. 23 in a  
15 deployed configuration;

FIG. 25 is a side view of a portion of a ninth embodiment of an anastomosis device which has been laid flat for ease of illustration;

FIG. 26 is a side view of a portion of a tenth embodiment of an anastomosis device which has been laid flat for ease of illustration;

20 FIG. 27 is a side view of a portion of an eleventh embodiment of an anastomosis device which has been laid flat for ease of illustration;

FIG. 28 is a side view of an eleventh embodiment of an anastomosis device which has been laid flat for ease of illustration; and

FIG. 29 is a top view of the anastomosis device of FIG. 28 with a flange  
25 deployed.

**DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

The present invention relates to an anastomosis device and method for connecting a graft vessel to a target vessel without the use of conventional sutures. The anastomosis device according to the present invention can be deployed with a deployment system which greatly increases the speed with which anastomosis can be performed over prior art suturing methods. In addition, the anastomosis device provides a smooth transition between the graft vessel and the target vessel. The devices according to the present invention are particularly designed for use in connecting graft vessels to blood delivery or target vessels. Suturing a graft vessel to a target vessel is difficult with conventional techniques, particularly in minimally invasive procedures where space may be limited. However, with an anastomosis device and deployment system of the present invention, anastomosis can be performed efficiently and effectively in tight spaces.

FIG. 1 illustrates an anastomosis device 10 according to a first embodiment of the present invention. The anastomosis device 10 includes a plurality of axial members 12 and a plurality of struts 14 interconnecting the axial members. The axial members 12 and struts 14 form a first linkage 16 at a first end of the device and a second linkage 18 at a second end of the device. The first and second linkages 16, 18 form first and second flanges 20, 22 when the anastomosis device 10 is deployed as illustrated in FIG. 2. The deployed flanges 20, 22 may be annular ring shaped or conical in shape. The first and second linkages 16, 18 are connected by a central connecting portion 24.

In use, a graft vessel 30 is inserted through a center of the tubular anastomosis device 10 and is everted over the first linkage 16 at the first end of the device. The first end of the device may puncture part way or all the way through the graft vessel wall to hold the graft vessel 30 on the device. An opening 34 is formed in the target vessel 32 to receive the graft vessel 30 and anastomosis

device 10. Once the anastomosis device 10 with everted graft vessel 30 are inserted through the opening 34 in the target vessel 32, the first and second flanges 20, 22 are formed as shown in FIG. 2 to secure the graft vessel to the target vessel by trapping the wall of the target vessel between the two flanges.

- 5 The anastomosis device 10 forms a smooth transition between the target vessel 32 and the graft vessel 30 which helps to prevent thrombi formation.

The first and second flanges 20, 22 are formed by radial expansion of the anastomosis device 10 as follows. The first and second linkages 16, 18 are each made up of a plurality of axial members 12 and struts 14. The struts 14 are  
10 arranged in a plurality of diamond shapes with adjacent diamond shapes connected to each other to form a continuous ring of diamond shapes around the device. One axial member 12 extends through a center of each of the diamond shapes formed by the struts 14. A reduced thickness section 26 or hinge in each of the axial members 12 provides a location for concentration of bending of the axial  
15 members. When an expansion member such as a tapered rod or an inflatable balloon is inserted into the tubular anastomosis device 10 and used to radially expand the device, each of the diamond shaped linkages of struts 14 are elongated in a circumferential direction causing a top and bottom of each of the diamond shapes to move closer together. As the top and bottom of the diamond shapes  
20 move closer together, the axial members 12 bend along the reduced thickness sections 26 folding the ends of the device outward to form the first and second flanges 20, 22. Once the first and second flanges 20, 22 have been formed, the wall of the target vessel 32 is trapped between the flanges and the everted graft vessel 30 is secured to the target vessel.

- 25 In the anastomosis device 10 shown in FIGS. 1 and 2, the struts 14 may be straight or curved members having constant or varying thicknesses. In addition, the axial members 12 may have the reduced thickness sections 26 positioned at a

center of each of the diamond shapes or off center inside the diamond shapes. The positioning and size of the reduced thickness sections 26 will determine the location of the flanges 20, 22 and an angle the flanges make with an axis of the device when fully deployed. A final angle between the flanges 20, 22 and  
5 longitudinal axis of the device 10 is about 40-100 degrees, preferably about 50 - 90 degrees.

FIG. 3 illustrates a second embodiment of a tubular anastomosis device 40 formed of a plurality of struts 42 interconnected in a diamond pattern. A first end of the device includes a plurality of interior diamonds 44 positioned within the  
10 diamonds formed by the plurality of struts 42. When the device is deployed, as illustrated in FIG. 4, the interior diamonds 44 fold outward to form a first annular flange 46. A second end of the device 40 includes a plurality of pull tabs 48 each having a T-shaped end 50 to be received in a corresponding slot in a deployment device. The deployment device holds the anastomosis device 40 during  
15 positioning and deployment of the first flange 46. Once the first annular flange 46 has been formed, the pull tabs 48 are folded radially outward and downward in the direction of the arrows B to form a second annular flange (not shown). Although the pull tabs 48 have been illustrated with T-shaped ends, the pull tabs may have other configurations such as loops which engage hooks of a deployment device.

20 In use, the graft vessel 30 is inserted through a center of the tubular anastomosis device 40 and everted over the first end of the device as shown in FIG. 3. An opening 34 is formed in the target vessel 32 and the anastomosis device 40 with the everted graft vessel 30 are inserted through the opening 34 in the target vessel. An expander is then advanced axially through the anastomosis  
25 device 40 to radially expand the device and cause the deployment of the first annular flange 46. During advancement of the expander, the device 40 is held in place by the deployment device which is connected to the T-shaped ends 50 of the

pull tabs 48. After deployment of the first annular flange 46 the expander is removed and the pull tabs 48 are disconnected from the deployment device and folded outward in the direction of the arrows B in FIG. 4 to form the second annular flange. The wall of the target vessel 32 is trapped between the first and  
5 second annular flanges.

In the embodiment of FIGS. 3 and 4, the interior diamonds 44 which form the first annular flange 46 each include top and bottom reduced thickness connection members 54 which connect the interior diamonds 44 to the struts 42. Each of the interior diamonds 44 also include a U-shaped web member 56 and two  
10 reduced thickness portions 58 located at opposite sides of the interior diamonds. As the device 40 is radially expanded, the diamond shapes formed by the struts 42 become more elongated in a circumferential direction, shortening the height of each of these diamond shapes. As the height of the diamond shapes formed by the struts 42 decreases, the interior diamonds 44 are folded outward into the  
15 configuration illustrated in FIG. 4. When the device 40 is fully expanded and the first annular flange 46 is fully formed, the diamonds which originally surrounded the interior diamonds 44 are completely extended and the struts 42 which originally formed the diamonds are parallel or substantially parallel. The interior diamonds 44 are each folded in half at the reduced thickness portions 58 or  
20 hinges.

FIGS. 5 and 6 illustrate a third embodiment of a tubular anastomosis device 60 having a plurality of struts 62, interior diamonds 64, and a plurality of pull tabs 68. The anastomosis device 60 of FIGS. 5 and 6 differs from the anastomosis device 40 of FIGS. 3 and 4 in the arrangement of the interior  
25 diamonds 64. The interior diamonds 64, as illustrated in FIG. 5, are connected to the surrounding struts 62 by three connection members 70. The connection members 70 are located at opposite sides of each of the interior diamonds 64 and

at the bottom of the interior diamonds. A top corner 72 of each of the interior diamonds 64 is not connected to the struts and folds inward upon expansion of the device.

5 With this embodiment of FIGS. 5 and 6, as an expander is inserted axially through the anastomosis device 60, the top corners 72 of each of the interior diamonds 64 fold inwardly while a bottom edge of the device folds outwardly to form the first annular flange 66. The expander may also push on the inwardly folded top corners 72 of the interior diamonds 64 to further bend the first flange 66 outward. The device 60 also includes a plurality of pointed ends 74 which  
10 puncture the everted graft vessel 30 and help to retain the graft vessel on the anastomosis device 60.

In use, the anastomosis device 60 is provided with a graft vessel 30 which is inserted through a center of the device and everted over the pointed ends 74 and interior diamonds 64 of the device. The anastomosis device 60 and everted graft  
15 vessel 30 are then inserted in the opening 34 in the target vessel 32 and the first annular flange 66 is deployed by expansion of the device with an axially movable expander. After formation of the first annular flange 66, the pull tabs 68 are folded downward and outward in the direction of the arrows B illustrated in FIG. 6 to form the second annular flange and trap the wall of the target vessel between  
20 the first and second annular flanges.

An alternative embodiment of an anastomosis device 80 illustrated in FIGS. 7 and 8 includes two rows of diamond-shaped members 82 which fold outward to form the first and second annular flanges 84, 86. Each of the diamond-shaped members 82 is connected to M-shaped struts 88 at one end and to  
25 V-shaped struts 90 at an opposite end. The diamond-shaped members 82 are connected only at the top end and bottom end. A central connecting portion 92 of the device 80 includes a plurality of large diamond-shaped support members 94.

As an expander is inserted into the device 80, the device expands from a configuration illustrated in FIG. 7 to the configuration illustrated in FIG. 8 in which the first and second annular flanges 84, 86 have been formed. During expansion, the M-shaped struts 88 and the V-shaped struts 90 are extended to  
5 straight or substantially straight members and the large diamond support members 94 move away from one another. The diamond-shaped members 82 each fold in half at reduced thickness portions 96 as in the embodiment illustrated in FIGS. 3 and 4.

FIGS. 9-11 illustrate a further alternative embodiment of an anastomosis  
10 device 100 according to the present invention. The device 100 includes a plurality of axial members 102 having reduced thickness portions 104. Each of the axial members 102 is positioned within a multi-sided expandable linkage 106. A central connecting portion 108 connects the expandable linkage 106 to a plurality of pull  
15 tabs 110. Each of the pull tabs 110 has a T-shaped end 112 which is received in a corresponding slot in a deployment device to hold the anastomosis device 100 during insertion and expansion. However, other pull tab shapes may also be used. As an expander is inserted axially into the anastomosis device 100, the linkage 106 expands causing the axial members 102 to fold along the reduced thickness  
20 portions 104 and extend radially outward forming a first radial flange 114, as illustrated in FIG. 10. The first radial flange 114 may be configured to extend at an acute angle from an axis of anastomosis device 100 or may be folded to form an angle of up to 90 degrees or greater. The angle between the axis of anastomosis device and the lower portion of the axial members 102 after the first  
25 radial flange 114 has been deployed is preferably between about 40 and 100 degrees. After the first radial flange has been deployed, the pull tabs 110 are disengaged from the deployment device and folded outwards in the direction of the arrows B to form a second radial flange 116 as illustrated in FIG 11. To



disengage and fold the pull tabs 110 outwards, the deployment device is moved distally with respect to the anastomosis device. The first and second radial flanges 114, 116 trap a wall of the target vessel 32 between the flanges and thus secure the everted graft vessel 30 to the target vessel.

5           FIGS. 12 and 13 illustrate alternative embodiments of the device 100 of FIGS. 9 through 11. The expandable tubular anastomosis device 120 of FIG. 12 has been cut and laid flat for ease of illustration. The device 120 includes a plurality of axial members 122 having hinges 124 in the form of U-shaped grooves. The axial members 122 are each mounted at opposite ends in an  
10       expandable linkage 126. The expandable linkage 126 is at one end of the device 120 while an opposite end of the device includes a plurality pull tabs 130. The pull tabs 130 and linkage 126 are connected by a central connecting portion 128. Each of the pull tabs 130 has a T-shaped end 132, a shoulder 134, and a triangular slot 136. Extending from an end of each of the pull tabs 130 opposite the T-  
15       shaped ends 132 is a tab lock 138.

          In use, the anastomosis device 120 of FIG. 12 is used in a manner substantially similar to that of the device shown in FIGS. 9-11. In particular, the device 120 is attached to an deployment tool by the T-shaped ends 132 of the pull tabs 130. A graft vessel is extended through the center of the tubular device 120  
20       and everted around the end of the device opposite the pull tabs 130. An expander is advanced axially into the device to expand the expandable linkage 126 and cause the lower portion of each of the axial members 122 below the hinges 124 to bend outward to form a first flange. The material in the center of each of the U-shaped cuts which form the hinges 124 serves as a backstop to prevent the flange from  
25       bending or rolling due to radial compressive forces applied to the flange by the stretched graft vessel. In contrast, with the narrowed section hinge shown in FIG. 1 the bend at the hinge tends to roll away from the desired hinge point due to

compressive forces applied by the graft vessel. The backstop hinge 124 prevents rolling of the bend along the axial member 122.

After formation of the first flange with the expander, the expander is withdrawn. During this withdrawal of the expander, an annular groove on an exterior surface of the expander engages the tab locks 138 causing the pull tabs 130 to bend outwardly to form the second flange. Alternatively, the tab locks 138 may be caught on a leading edge of the expander. As the pull tabs 130 bend outwardly, the T-shaped ends 132 of the pull tabs disengage from the deployment device. According to one embodiment of the invention, the second flange is formed by a first bend in the pull tabs 130 at a location between the triangular slot 136 and the lock tab 138 and a second bend in the pull tab at the shoulder 134. These two bends in the pull tabs 130 allow the anastomosis device to accommodate target vessels with different wall thicknesses. Each of the two bends preferably forms an angle of about 20-70 degrees.

FIG. 13 illustrates a further embodiment of a tubular anastomosis device 120' which corresponds substantially to the device shown in FIG. 12. However, FIG. 13 illustrates several different variations of hinges 124' for the axial members 122'. In particular, the hinges 124' may be formed in any of the different manners illustrated in FIG. 13 by removing material from the axial members 122' to cause bending at the desired location. These hinges 124' may include openings of various shapes and/or cut away portions on the sides of the axial members 122'. The different hinge configurations have been shown in one device only for purposes of illustration.

FIGS. 14 - 18 illustrate a deployment system 150 and sequence of deploying an anastomosis device 120 such as the device shown in FIG. 12 with the deployment system. In FIGS. 14 - 16 the graft vessel 30 has been eliminated for purposes of clarity. As shown in FIGS. 14 - 18, the deployment system 150

includes a hollow outer trocar 152 (not shown in FIG. 14), a holder tube 154 positioned inside the trocar, and an expander tube 156 slidable inside the holder tube. As can be seen in the detail of FIG. 14A, the anastomosis device 120 is attached to a distal end of the holder tube 154 by inserting the T-shaped ends 112  
5 of each of the pull tabs 110 in slots 158 around the circumference of the holder tube. The trocar 152, holder tube 154, and expander tube 156 are all slidable with respect to one another during operation of the device. A device handle 160 is provided for moving the tubes with respect to one another will be described in further detail below with respect to FIGS. 19 - 22.

10 As shown in FIG. 15, initially, the holder tube 154, expander tube 156, and the anastomosis device 120 are positioned within the trocar 152 for insertion. The trocar 152 has a hollow generally conical tip with a plurality of axial slots 162 which allow the conical tip to be spread apart so that the anastomosis device 120 can slide through the opened trocar. The trocar 152, acting as a tissue retractor  
15 and guide, is inserted through the wall of the target vessel 32 forming an opening 34. As shown in FIG. 16, the anastomosis device 120 is then advanced into or through the target vessel wall 32 with the holder tube 154. The advancing of the holder tube 154 causes the distal end of the trocar 152 to be forced to spread apart. Once the anastomosis device 120 is in position and the trocar 152 has been  
20 withdrawn, the first annular flange is deployed by advancing the expander tube 156 into the anastomosis device. The advancing of the expander tube 156 increases the diameter of the anastomosis device 120 causing the first flange to fold outward from the device. This expanding of the first flange may be performed inside the vessel and then the device 120 may be drawn back until the  
25 flange abuts an interior of the target vessel wall 32.

As shown in FIG. 18, after the first flange has been deployed, the expander tube 156 is withdrawn forming the second flange. As the expander tube

156 is withdrawn, the anastomosis device 120 drops into a radial groove 157 on an exterior of the expander tube due to the elasticity of the device. The radial groove 157 holds the anastomosis device 120 stationary on the expander tube. The holder tube 154 is then moved forward disengaging the anastomosis device  
5 pull tabs 130 from the slots 158 in the holder tube. The shoulders 134, shown most clearly in FIGS. 15 and 16, engage a tapered distal end of the holder tube 154 causing the pull tabs 130 to be released from the slots 158. As the holder tube 154 is moved further forward, the holder tube causes the second flange to be deployed. The edges of the radial groove 157 are preferably beveled so that the  
10 anastomosis device 120 will be able to be removed from the expander tube 156 after the anastomosis device is completely deployed.

One alternative embodiment of the holder tube 154 employs a plurality of flexible fingers which receive the pull tabs 130 of the anastomosis device 120. According to this embodiment each pull tab 130 is received by an independent  
15 finger of the holder tube 154. To deploy the second or outer flange of the anastomosis device 120, the flexible fingers flex outward bending the pull tabs 130 outward.

FIGS. 19 - 22 illustrate the operation of the handle 160 to move the trocar 152, the holder tube 154, and the expander tube 156 with respect to one another to  
20 deploy the anastomosis device 120 according to the present invention. The handle 160 includes a grip 170 and a trigger 172 pivotally mounted to the grip at a pivot 174. The trigger 172 includes a finger loop 176 and three contoured cam slots 178, 180, 182 corresponding to the trocar 152, holder tube 154, and expander tube 156, respectively. Each of these tubes has a fitting 184 at a distal end  
25 thereof. A pin 186 connected to each of the fittings 184 slides in a corresponding one of the cam slots 178, 180, 182. A fourth cam slot and tube may be added to control deployment of the second flange.

The handle 160 is shown in FIG. 18 in an insertion position in which the trocar 152 extends beyond the holder tube 154 and the expander tube 156 for puncturing of the target vessel wall 32. As the trigger 172 is rotated from the position illustrated in FIG. 19 to the successive positions illustrated in FIGS. 20 -  
5 22, the pins 186 slide in the cam slots 178, 180, 182 to move the trocar 152, holder tube 154 and expander tube 156.

FIG. 20 shows the handle 160 with the trigger 172 rotated approximately 30 degrees from the position of FIG. 19. This rotation moves the holder tube 154 and expander tube 156 forward into the wall of the target vessel 32 spreading the  
10 trocar 152. The anastomosis device 120 is now in position for deployment. FIG. 21 shows the trigger 172 rotated approximately 45 degrees with respect to the position of FIG. 19 and the cam slot 182 has caused the expander tube 156 to be advanced within the holder tube 154 to deploy the first flange. The trocar 152 has also been withdrawn.

15 FIG. 22 shows the handle 160 with the trigger 172 pivoted approximately 60 degrees with respect to the position shown in FIG. 19. As shown in FIG. 22, the expander tube 156 has been withdrawn to pull the first flange against the vessel wall 32 and the holder tube 154 is moved forward to deploy the second flange and disengage the holder tube 154 from the anastomosis device 120.

20 The handle 160 also includes a first channel 188 and a second channel 190 in the grip 170 through which the graft vessel (not shown) may be guided. The grip 170 also includes a cavity 192 for protecting an opposite end of the graft vessel from the attachment end.

FIG. 23 - 26 illustrate a further alternative embodiment of the anastomosis  
25 device according to the present invention. As shown in FIG. 23, an anastomosis device 200 includes a plurality of pull tabs 202, a diamond linkage 204, and a plurality of needles 206. As shown in the detail of FIG. 23A, each of the needles

206 has a tail portion 208 which is bent radially inwardly as shown in FIG. 23 prior to use. In this embodiment, the graft vessel is inserted through the center of the anastomosis device 200 and everted over the needles 206 as in the previous embodiments. The needles 206 puncture the graft vessel and securely retain the graft vessel on the anastomosis device. To deploy the device 200 of FIG. 23, an expander 210 is inserted axially into the device in a direction of the arrow C and engages the tail portions 208 of the needles 206 to fold the needles radially outward. The expander 210 is preferably larger in diameter than an original inner diameter of the device 200 such that the device is expanded during deployment. This expansion will stretch the opening in the target vessel 32 providing a better seal between the graft and target vessels. However, it should be understood that an outer diameter of the expander 210 according to this embodiment can be equal to or smaller than an inner diameter of the device 200 and can bend the needles 206 outward without radially expanding the device.

FIG. 24 illustrates the device 200 of FIG. 23 in which the expander has been used to radially expand the device and bend the needles 206 outward. The pull tabs 202 are then folded downward to trap the wall of the target vessel 32 between the needles 206 and the pull tabs.

FIGS. 25 and 26 illustrate two modified versions of the embodiment of FIG. 23. The variations of FIGS. 24 and 25 each include pull tabs 202, diamond linkages 204, and needles 206 having tail portions 208 bent inwardly. FIG. 25 and 26 also illustrate horns 212 which help to retain the graft vessel after eversion.

A cantilevered end of each of the axial members may be either rounded as shown in FIGS. 12 and 13 or pointed as shown in FIGS. 1, 2, 5 and 6. The rounded cantilever ends prevent puncturing of the graft vessel while the pointed cantilever ends puncture through the vessel and prevent the vessel from slipping off of the anastomosis device. The puncturing of the vessel also relieves stresses

on the vessel which are created when expanding the first flange. Although the pointed cantilever ends may provide more secure retention of the graft vessel, these pointed ends will provide undesirable metal within the bloodstream.

FIG. 27 illustrates a modified version of the anastomosis device of FIG. 12 in which the anastomosis device 120" includes modified needles 206' with saw tooth edges for grasping tissue of the graft vessel. This version of the anastomosis device 120" also includes backstop hinges 124 and pull tabs 130.

FIGS. 28 and 29 illustrate an alternative embodiment of an anastomosis device 220. Having the first flange formed from a plurality of members 222 which fold out tangentially from a body of the anastomosis device. The device 220 includes pull tabs 224, connected by a diamond linkage 226 to the members 222. As the diamond linkage 226 is expanded in the manner described above with respect to the earlier embodiments, the members 222 fold outward in a direction which is substantially tangential to a body of the expanding device as shown in FIG. 28. The tangentially folded members 222 form the inner flange of the device 220. The pull tabs 224 are then folded downward to form the outer flange. According to this embodiment of the invention, a second flange may also be formed from a plurality of members which fold out tangentially from a body of the anastomosis device.

Each of the anastomosis devices described above are preferably single piece devices which are formed by laser cutting or punching from a tube or sheet of material. The devices may be provided in varying sizes to join vessels of different sizes. The linkages, pull tabs, and other elements which have been discussed above with regard to the various embodiments may be used in varying numbers and arrangements.

The invention has been described as an anastomosis device which is expanded with an expander. The expander may be a tube, a balloon, or any other known expanding device.

Although the invention has been principally discussed with respect to  
5 coronary bypass surgery, the anastomosis devices of the present invention may be used in other types of anastomosis procedures. For example, the anastomosis device may be used in femoral-femoral bypass, vascular shunts, subclavian-carotid bypass, organ transplants, and the like.

The anastomosis devices may be made of any known material which can be  
10 bent and will retain the bent shape such as stainless steel, nickel titanium alloys, and the like. The hinges or pivot joints which have been discussed above in the various embodiments of the present invention are designed to concentrate the bending at a desired location. For example, the hinges may be formed with a reduced thickness or width, or with openings in order to concentrate the bending  
15 in the hinges.

The dimensions of the anastomosis device of the present invention are determined by the dimensions of the blood vessels to be joined. A distance between the two flanges is designed to accommodate the wall thickness of a target vessel which may vary. The anastomosis devices according to the present  
20 invention have been illustrated as cylindrical members. However, the devices may also be shaped into oval shapes, football shapes, or other shapes to accommodate smaller target vessels.

While the invention has been described in detail with reference to the preferred embodiments thereof, it will be apparent to one skilled in the art that  
25 various changes and modifications can be made and equivalents employed, without departing from the present invention.



WHAT IS CLAIMED IS:

- 1           1.     An anastomosis device for connecting a graft vessel to a target  
2 vessel, the device comprising:  
3                 a first linkage formed of a plurality of struts and a plurality of axial  
4 members, the first linkage expandable from a first configuration in which the first  
5 linkage is a substantially tubular shape to a second configuration in which the first  
6 linkage includes a first outwardly extending flange;  
7                 a substantially tubular connecting portion extending from the first  
8 linkage; and  
9                 a second linkage configured to form a second outwardly extending  
10 flange spaced from the first outwardly extending flange.
- 1           2.     The anastomosis device of Claim 1, wherein the plurality of axial  
2 members each include a hinge for concentrating bending of the axial members  
3 during formation of the first outwardly extending flange.
- 1           3.     The anastomosis device of Claim 1, wherein the plurality of struts  
2 form a plurality of diamond shapes which contract in an axial direction of the  
3 device when the device is outwardly expanded.
- 1           4.     The anastomosis device of Claim 3, wherein the plurality of axial  
2 members are each positioned within a corresponding one of the diamond shapes  
3 such that as the diamond shapes contract in the axial direction the axial members  
4 bend outward to form the first outwardly extending flange.

1           5.     The anastomosis device of Claim 1, wherein the plurality of axial  
2 members are inner diamond shaped members connected to the plurality of struts at  
3 top and bottom corners and including two hinges at side corners.

1           6.     The anastomosis device of Claim 1, wherein the second linkage is  
2 formed of a plurality of struts and a plurality of axial members, and the second  
3 linkage is expandable from a first configuration in which the second linkage is a  
4 substantially tubular shape to a second configuration in which the second linkage  
5 forms the second outwardly extending flange.

1           7.     The anastomosis device of Claim 1, wherein the second linkage is  
2 formed of a plurality of pull tabs configured for holding the anastomosis device  
3 during insertion.

1           8.     The anastomosis device of Claim 1, wherein the substantially  
2 tubular connecting portion is radially expandable.

1           9.     The anastomosis device of Claim 1, wherein the first outwardly  
2 extending flange is conical.

1           10.    The anastomosis device of Claim 1, wherein the second outwardly  
2 extending flange is conical.

1           11.    An anastomosis device for connecting a graft vessel to a target  
2 vessel, the device comprising:  
3                   a body formed from a plurality of struts and deformable from a first  
4 configuration in which the device is substantially tubular to a second configuration

5 in which the device includes a first flange and a second flange spaced from the  
6 first flange.

1 12. The anastomosis device of Claim 11, wherein:  
2 a first end of the body includes a first linkage which changes from a  
3 substantially tubular configuration to an outwardly extending configuration to  
4 form the first flange upon radial expansion of the first end by an expander  
5 positioned in a center of the body; and  
6 a second end of the body includes a second linkage which is  
7 configured to form the second flange upon deployment of the device.

1 13. The anastomosis device of Claim 12, wherein the first linkage  
2 includes a plurality of struts arranged in a configuration such that an axial  
3 dimension of the first linkage changes upon outwardly expansion of the linkage.

1 14. The anastomosis device of Claim 13, wherein the first linkage  
2 includes a plurality of folding members which are caused to fold outward by the  
3 change in axial dimension of the first linkage.

1 15. The anastomosis device of Claim 14, wherein the folding members  
2 are axially members with central hinges.

1 16. The anastomosis device of Claim 14, wherein the folding members  
2 are diamond shaped members having two central hinges.

1           17.    The anastomosis device of Claim 12, wherein the first linkage  
2 includes a plurality of members which are caused to fold outward tangentially to  
3 the device by the change in the axial dimension of the first linkage.

1           18.    The anastomosis device of Claim 11, wherein the first and second  
2 flanges each form an angle between about 45 and 100 degrees with an axis of the  
3 body.

1           19.    The anastomosis device of Claim 11, wherein the first flange is  
2 formed by outwardly pivoting a plurality of substantially axial members which are  
3 supported by the plurality of struts.

1           20.    The anastomosis device of Claim 11, wherein the first flange and  
2 the second flange are spaced apart a distance sufficient to accommodate a wall of a  
3 blood vessel.

1           21.    An anastomosis device comprising an expandable body, the  
2 expansion of a portion of said body forming a first flange extending outwardly  
3 from said body.

1           22.    The anastomosis device of Claim 21, wherein the expansion of a  
2 second portion of said body forms a second flange extending outwardly from said  
3 body.

1           23.    The anastomosis device of Claim 21, wherein the first flange is  
2 formed by outwardly expanding a four bar linkage which is provided on said  
3 body.

1           24.    The anastomosis device of Claim 23, wherein the four bar linkage  
2    is formed by a plurality of struts arranged in a plurality of interconnected  
3    substantially diamond shapes.

1           25.    An anastomosis device comprising a body of elements which form  
2    movable linkages, expansion of the body activates said linkages to form a flange.

1           26.    The anastomosis device of Claim 25, wherein the movable linkages  
2    include hinges and wherein expansion of the body causes the hinges to bend to  
3    form the flange.

1           27.    The anastomosis device of Claim 25, wherein the flange is formed  
2    at a distal end of the body and a proximal flange is formed at a proximal end of  
3    the body.

1           28.    The anastomosis device of Claim 27, wherein the proximal flange is  
2    formed by expansion of said body.

1           29.    The anastomosis device of Claim 27, wherein the proximal flange is  
2    formed of a plurality of pull taps configured for holding the body during insertion.

1           30.    A method of performing anastomosis comprising:  
2            providing a one-piece tubular anastomosis device;  
3            everting an end of a graft vessel around the anastomosis device;  
4            puncturing a target vessel with a trocar;  
5            inserting the tubular anastomosis device with everted graft vessel

6 into the puncture in the target vessel;  
7 radially expanding the tubular anastomosis device with an expander  
8 to cause a portion of the tube to fold outward forming a first annular flange; and  
9 forming a second annular flange on the anastomosis device to trap a  
10 wall of the target vessel between the first and second annular flanges and seal the  
11 graft vessel to the target vessel.

1 31. The method of Claim 30, wherein enlargement of an internal  
2 diameter of the anastomosis device with the expander causes the formation of the  
3 first flange.

1 32. The method of Claim 30, wherein the device is expanded by  
2 advancing an expander with an outer diameter greater than an inner diameter of  
3 the anastomosis device into the anastomosis device.

1 33. The method of Claim 32, wherein the withdrawal of the expander  
2 causes formation of the second flange.

1 34. The method of Claim 33, wherein a groove on the expander catches  
2 at least a portion of the anastomosis device to form the second flange.

1 35. The method of Claim 30, wherein the device is expanded by an  
2 expander in the form of an inflatable balloon.

1           36.    The method of Claim 30, wherein the radial expansion of the  
2    anastomosis device causes a portion of the device to bend at a plurality of hinges  
3    to form the first annular flange.

1           37.    The method of Claim 30, wherein the first and second annular  
2    flanges each form an angle between about 45 and 100 degrees with an axis of the  
3    device.

1           38.    An anastomosis device deployment system comprising:  
2                   a handle;  
3                   a holder tube attached to the handle, the holder tube having a distal  
4    end configured to hold the anastomosis device with an attached graft vessel; and  
5                   an expander positioned within the holder and slidable with respect  
6    to the holder to a position at which the expander is positioned within the  
7    anastomosis device and radially expands the anastomosis device.

1           39.    The system of Claim 38, further comprising a trocar movable with  
2    respect to the holder tube to form an opening in a target vessel to receive the  
3    anastomosis device and attached graft vessel.

1           40.    The system of Claim 39, wherein the trocar is a split trocar which  
2    is slidable over the holder tube and the anastomosis device.

1           41.    The system of Claim 38, wherein the handle includes two cam  
2    grooves, and the holder tube and expander each have a follower member engaged  
3    in one of the cam grooves to move the holder tube and expander with respect to  
4    one another upon activation of a trigger of the handle.

1           42.    The system of Claim 38, wherein the distal end of the holder tube  
2 includes a plurality of slits for receiving pull tabs of the anastomosis device.

1           43.    The system of Claim 38, wherein the distal end of the holder tube  
2 includes a plurality of hooks for receiving pull tabs of the anastomosis device.

1           44.    The system of Claim 38, wherein the distal end of the holder tube  
2 includes a plurality of flexible fingers which each receive a pull top of the  
3 anastomosis device, the flexible fingers flexing outward to form a proximal flange  
4 on the anastomosis device.



### ABSTRACT OF THE DISCLOSURE

An anastomosis device is a one piece device for connecting a graft vessel to a target vessel without the use of conventional sutures. The anastomosis device includes an expandable tube configured to have a graft vessel secured to the tube. The device has an expandable linkage positioned at one end of the device and expansion of this linkage causes a first radially extending flange to fold outward. This first flange abuts an interior wall of a target vessel and a second flange is formed which abuts an exterior wall of the target vessel trapping the target vessel between the two flanges and secures the end of the graft vessel into an opening in the wall of the target vessel. The device greatly increases the speed with which anastomosis can be performed over known suturing methods and allows anastomosis to be performed in tight spaces.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	)	Attention: <b>DRAFTING BRANCH</b>
	)	
Jaime VARGAS et al.	)	
	)	
Application No.: Divisional of 09/314,278	)	
	)	
Filed: Herewith (September 18, 2000)	)	Group Art Unit: Unassigned
	)	
For: SUTURELESS CLOSURE AND	)	Examiner: Unassigned
DEPLOYMENT SYSTEM FOR	)	
CONNECTING BLOOD VESSELS	)	

**SUBMISSION OF FORMAL DRAWINGS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**ATTN: OFFICIAL DRAFTSMAN**

Sir:

Enclosed please find twenty-four (24) sheets of formal drawings (FIGS. 1-29) for review by the Patent and Trademark Office in connection with the above-captioned application. Should the enclosed drawings require changes, it is respectfully requested that the Patent and Trademark Office notify the undersigned of same.

Respectfully submitted,

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Wendi L. Weinstein  
Registration No. 34,456

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: September 18, 2000

FIG. 1

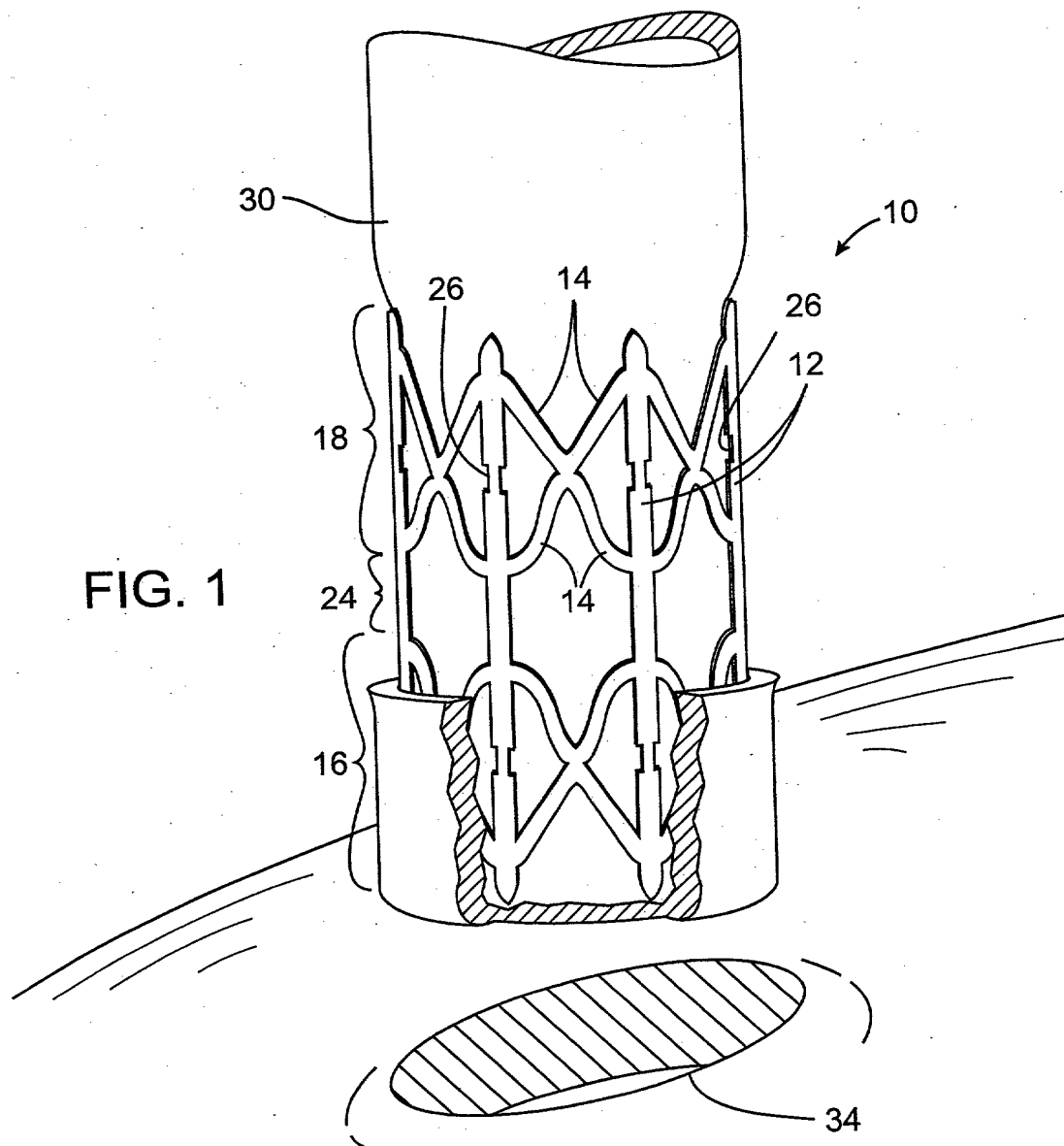


FIG. 2

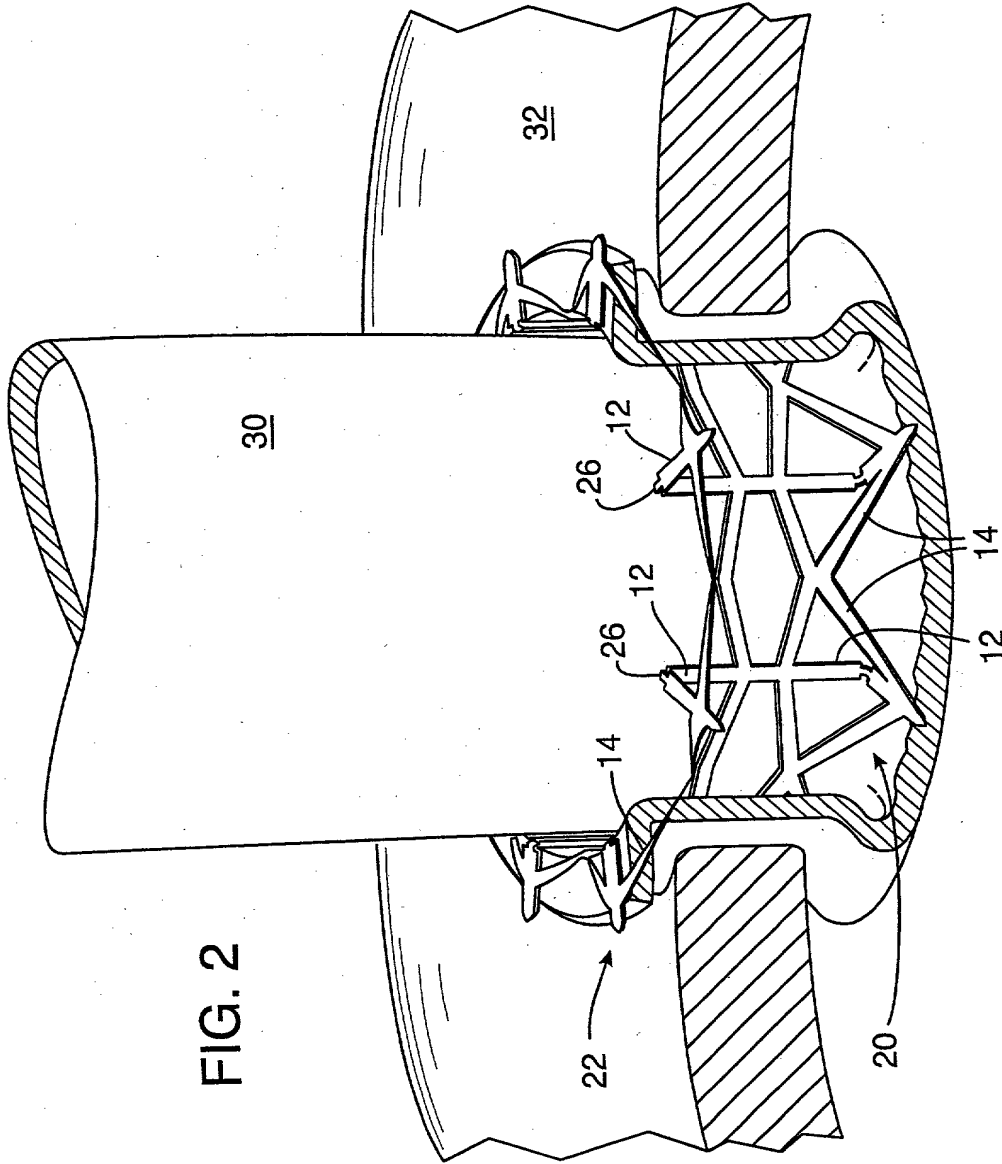
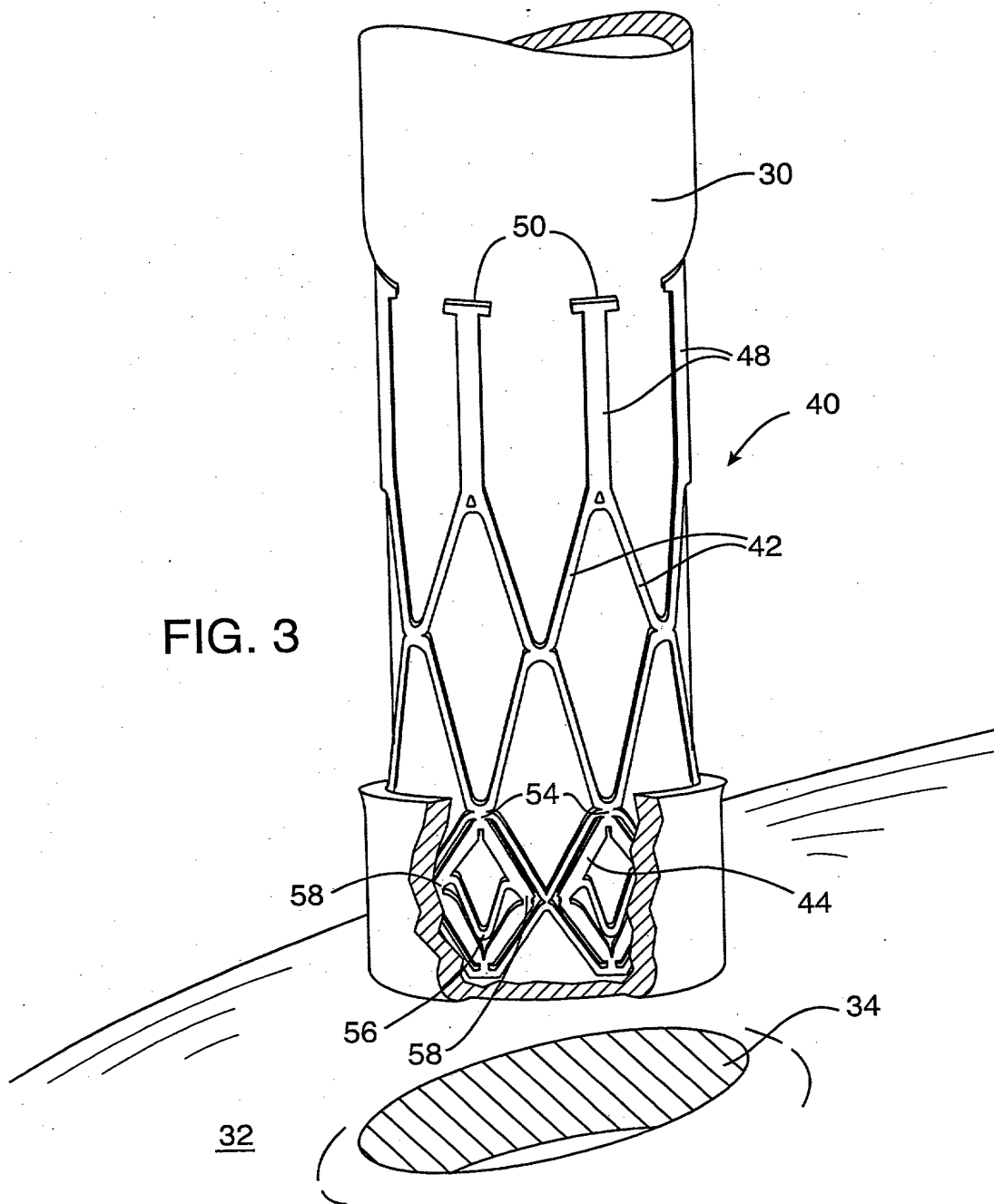


FIG. 3



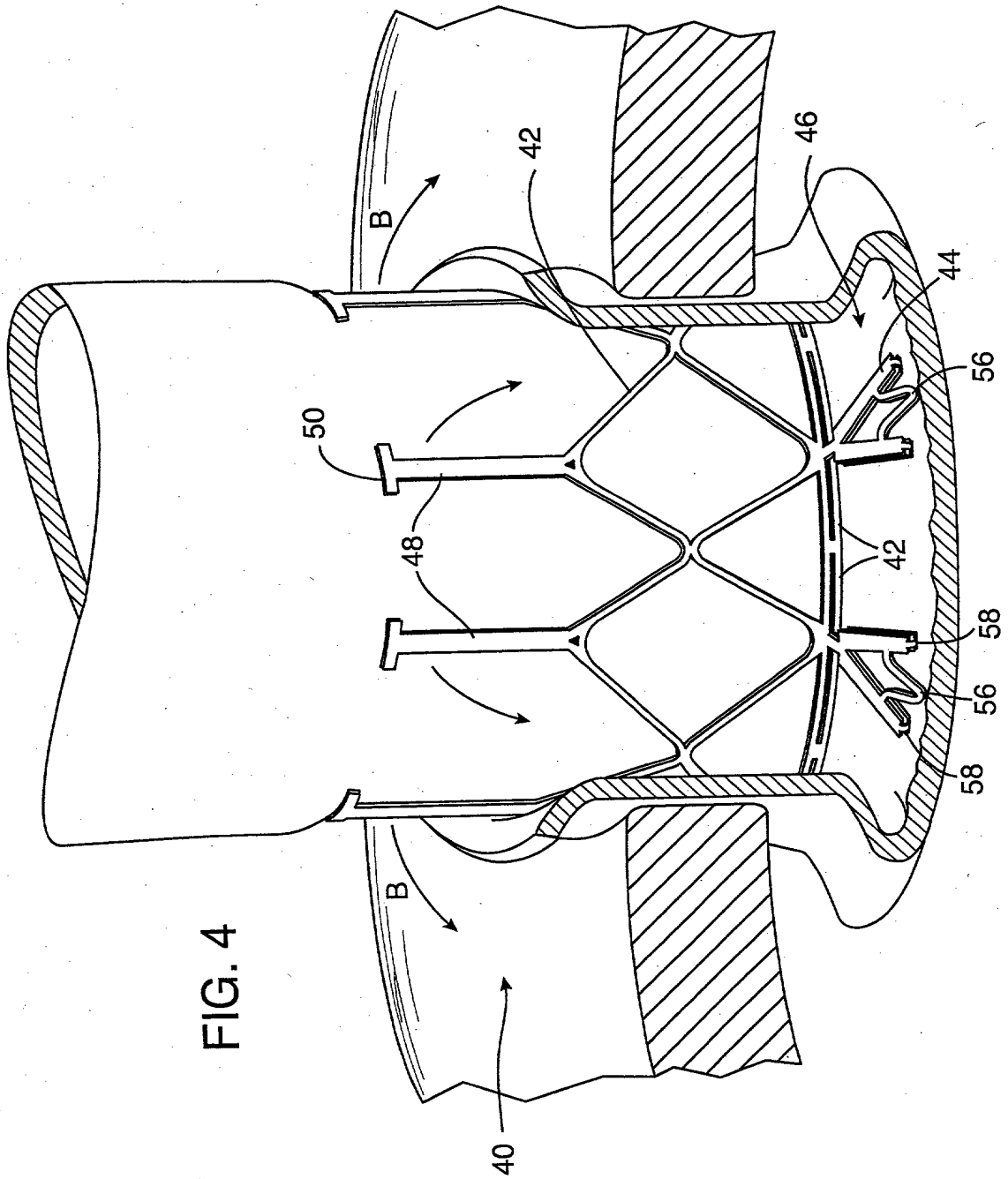
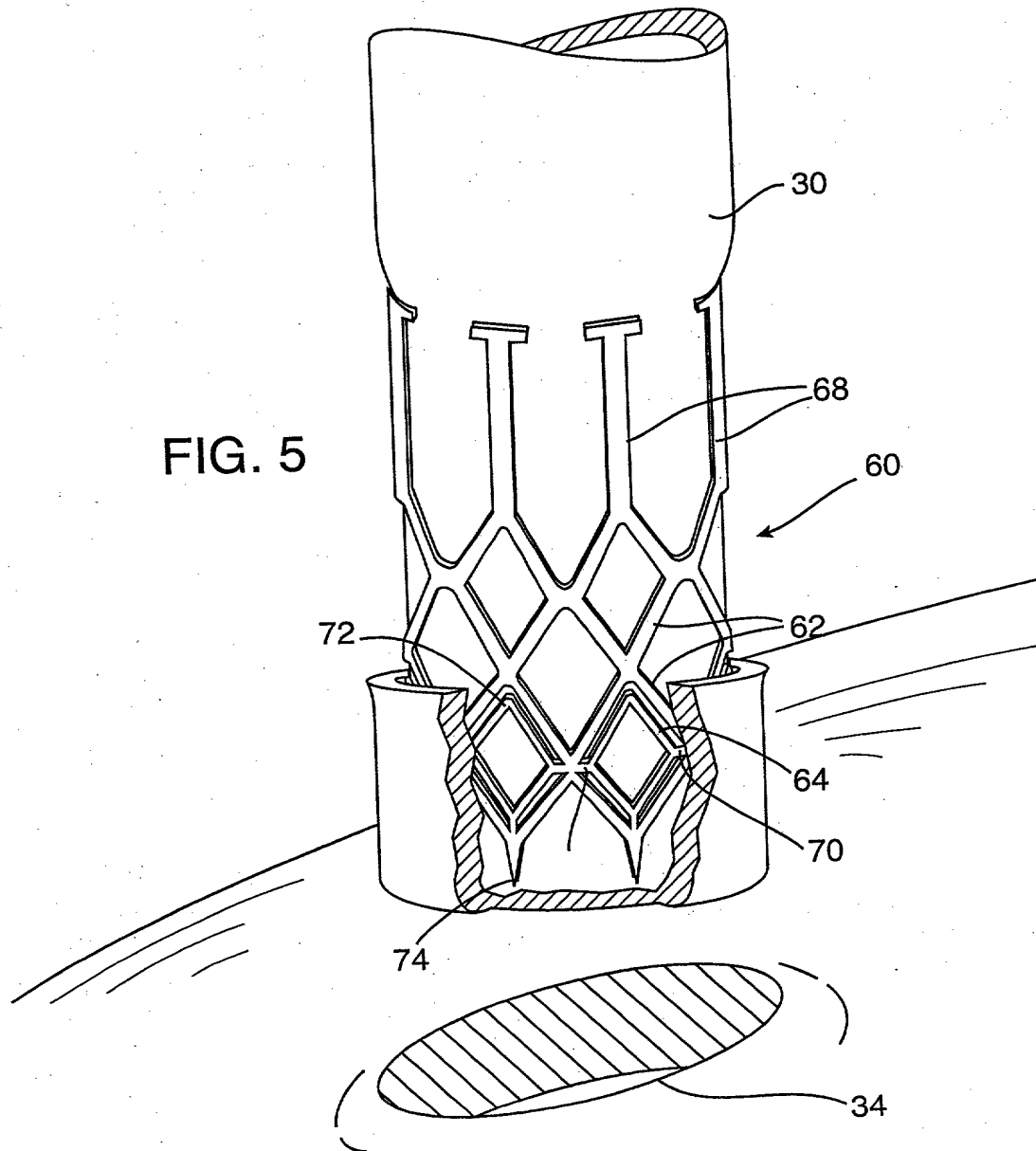


FIG. 5



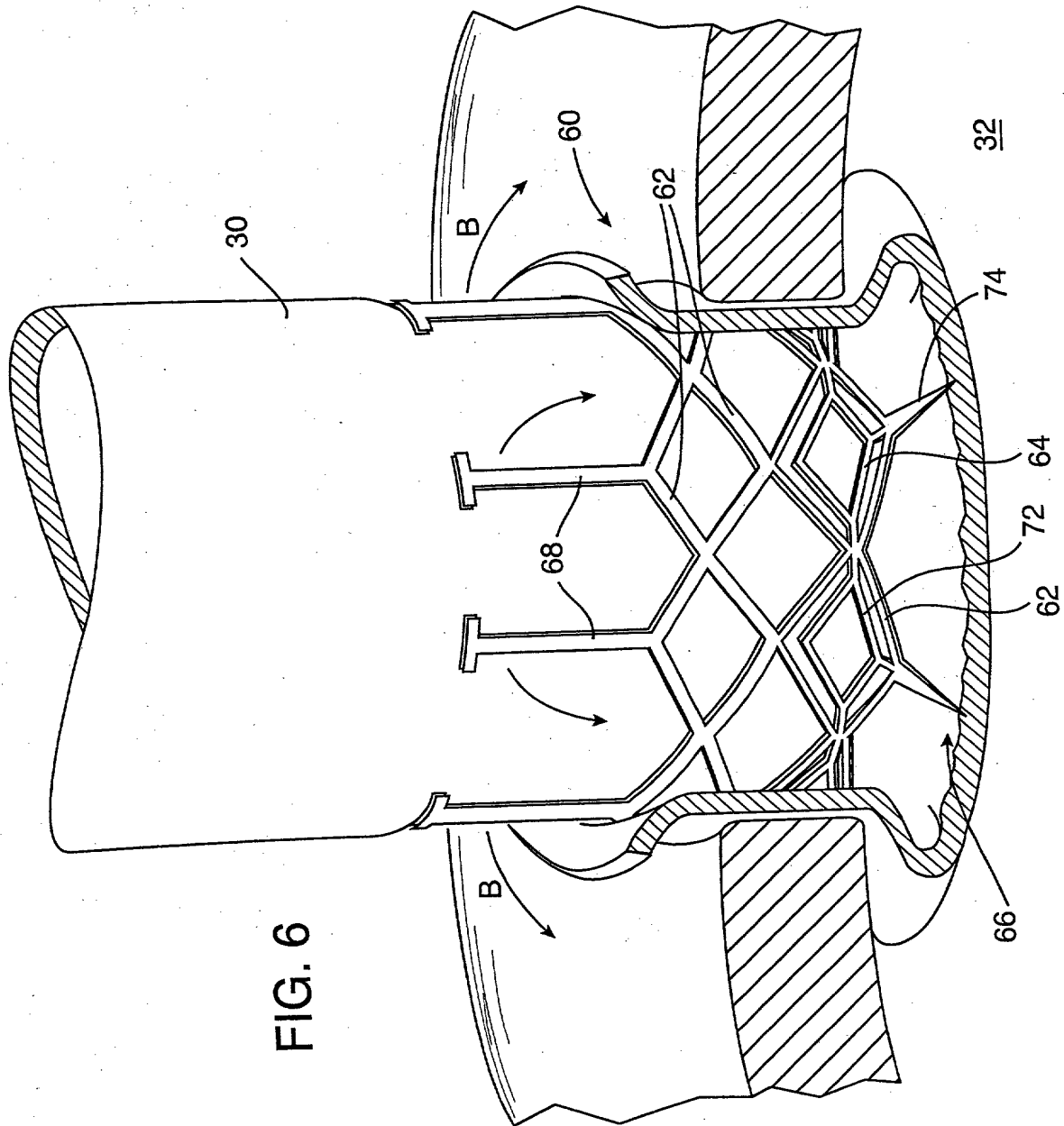
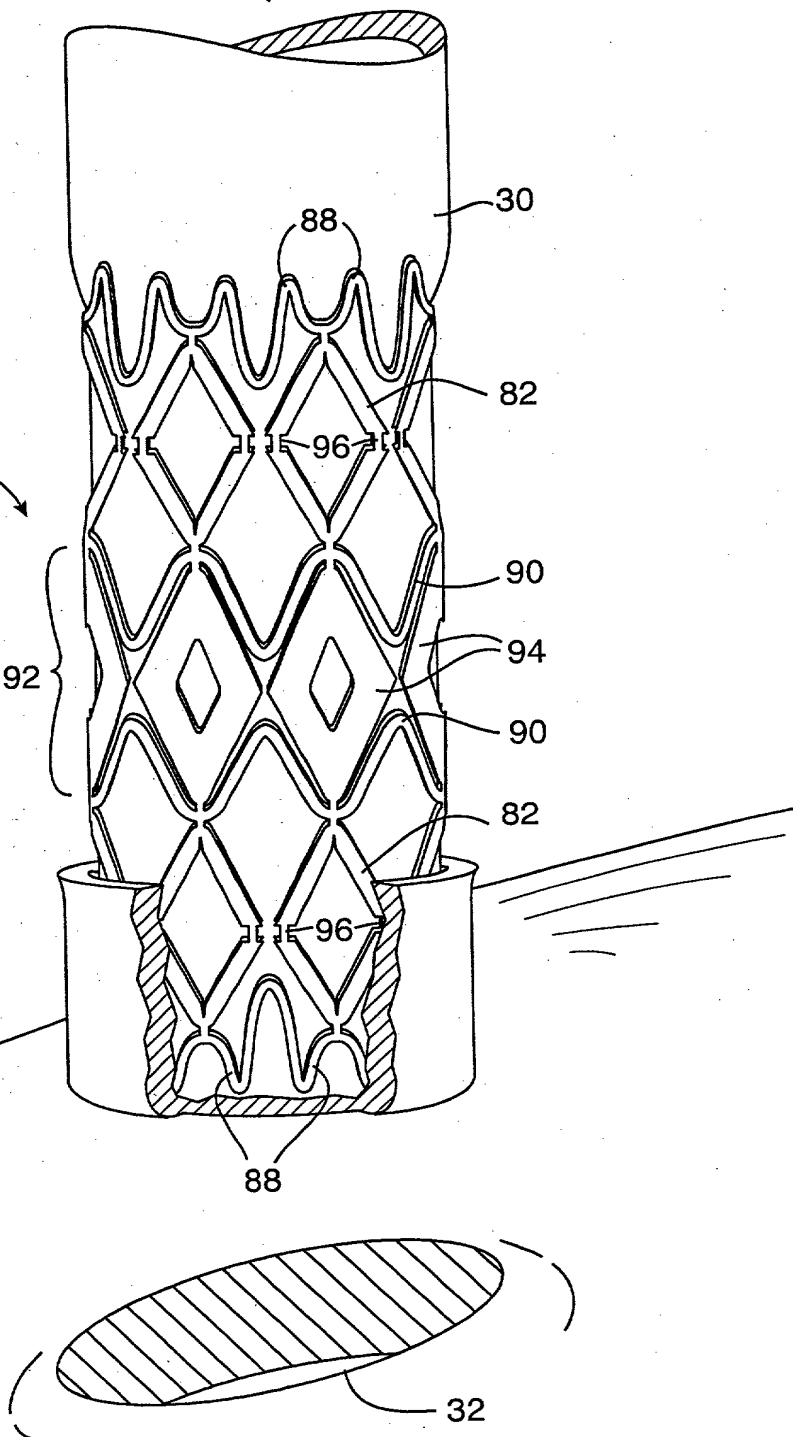


FIG. 6



FIG. 7



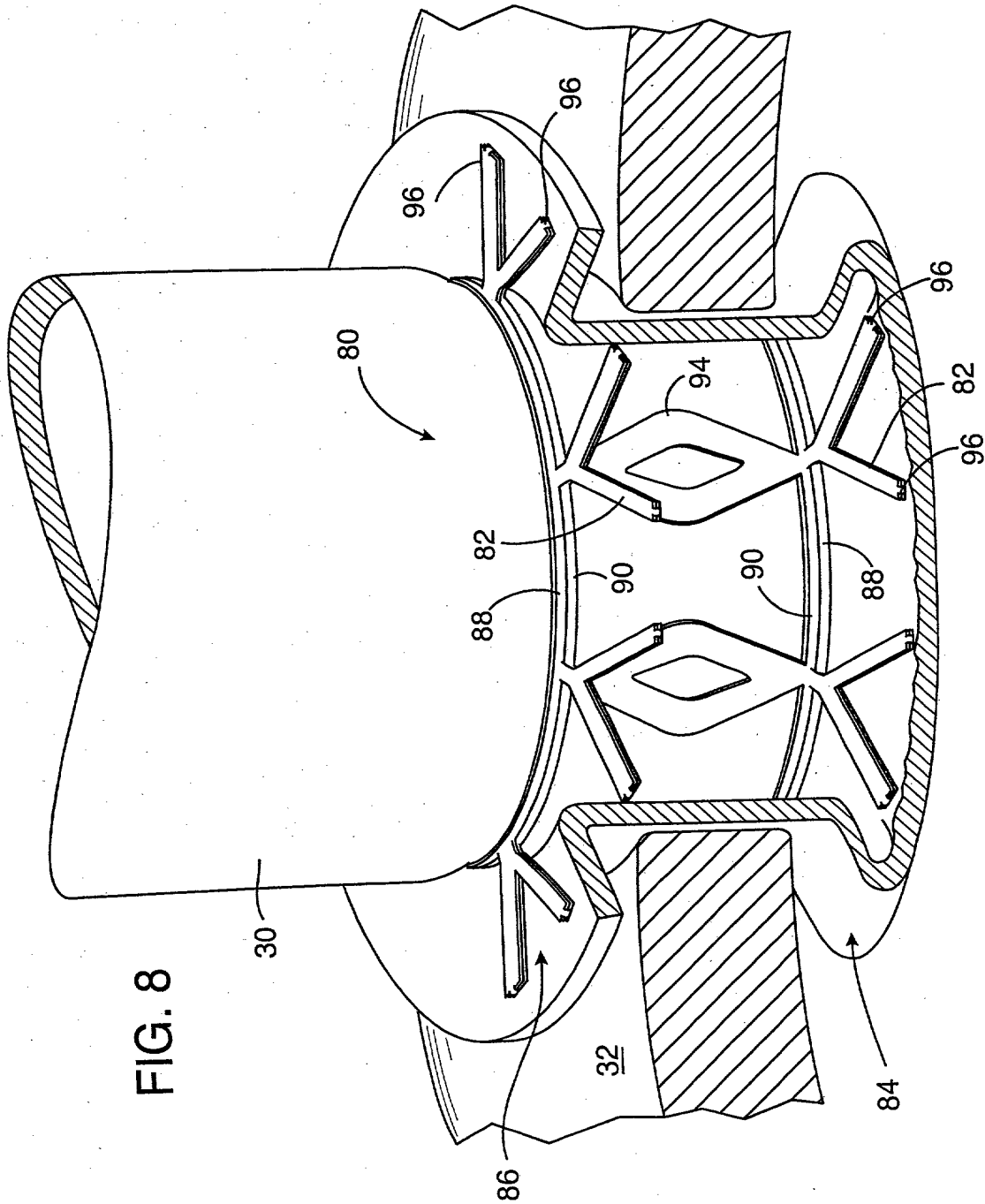
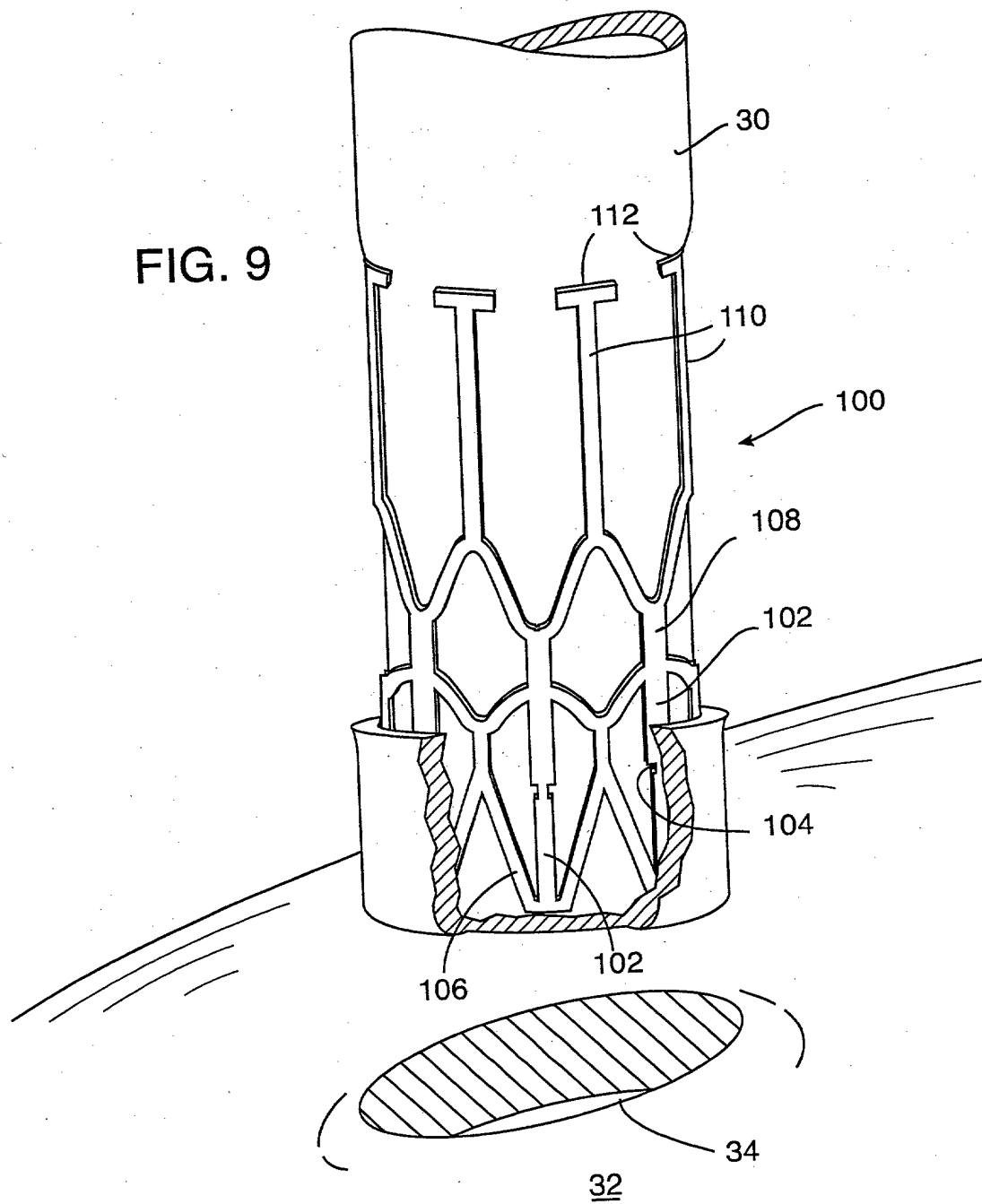


FIG. 9



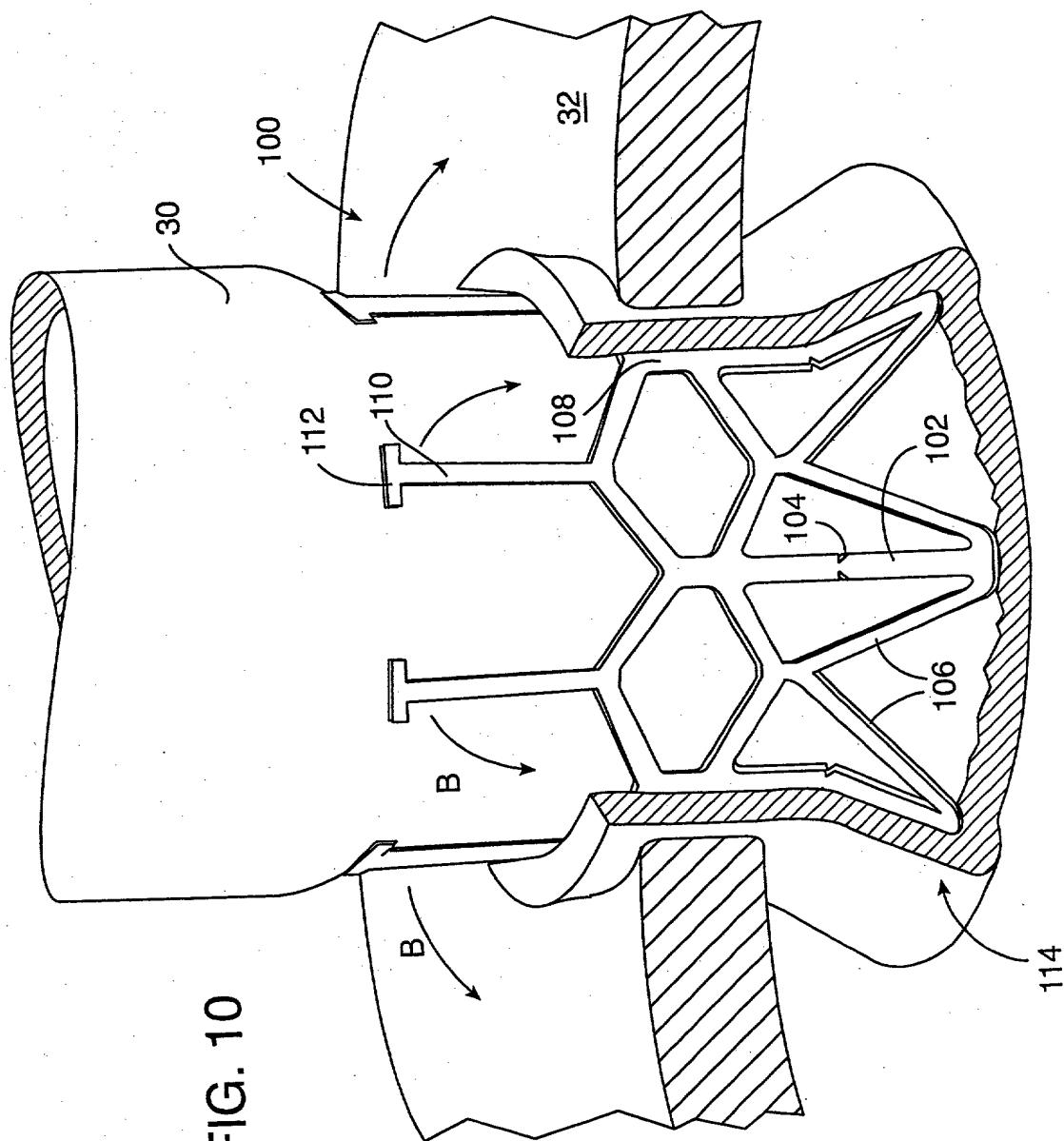


FIG. 10

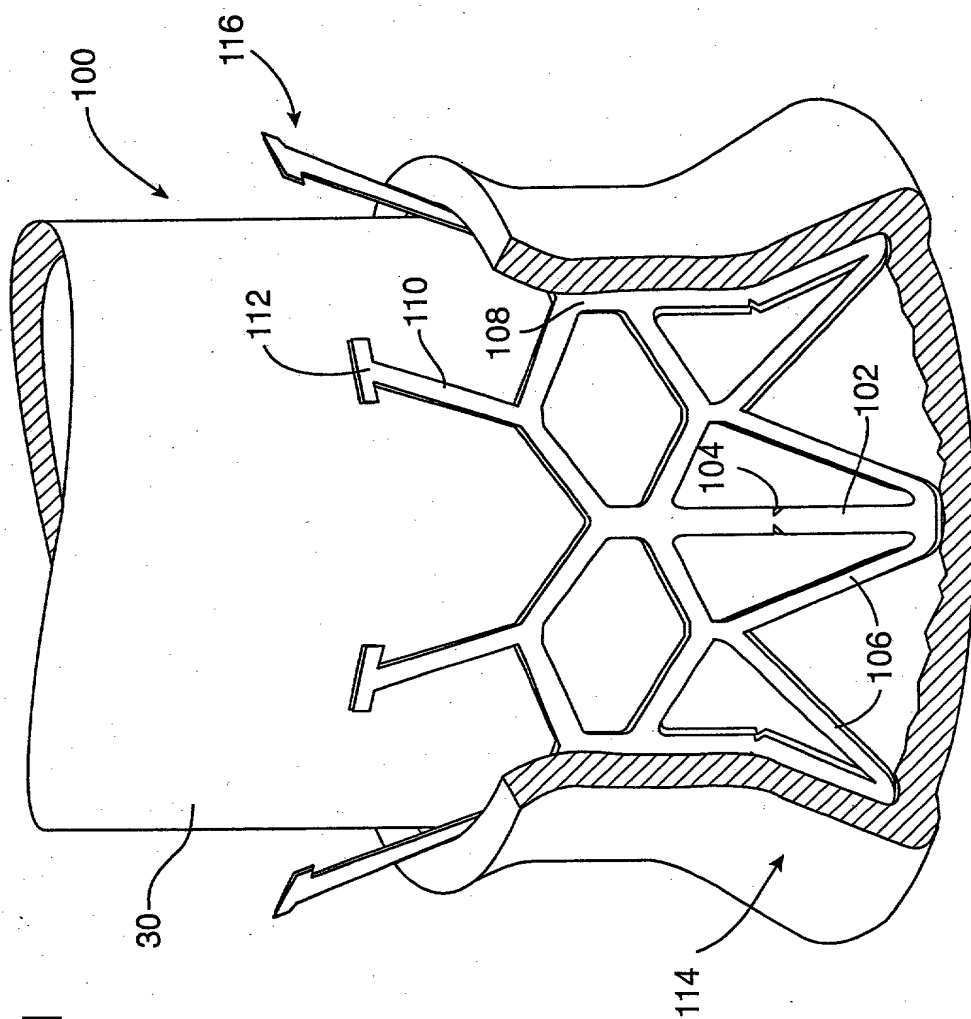


FIG. 11

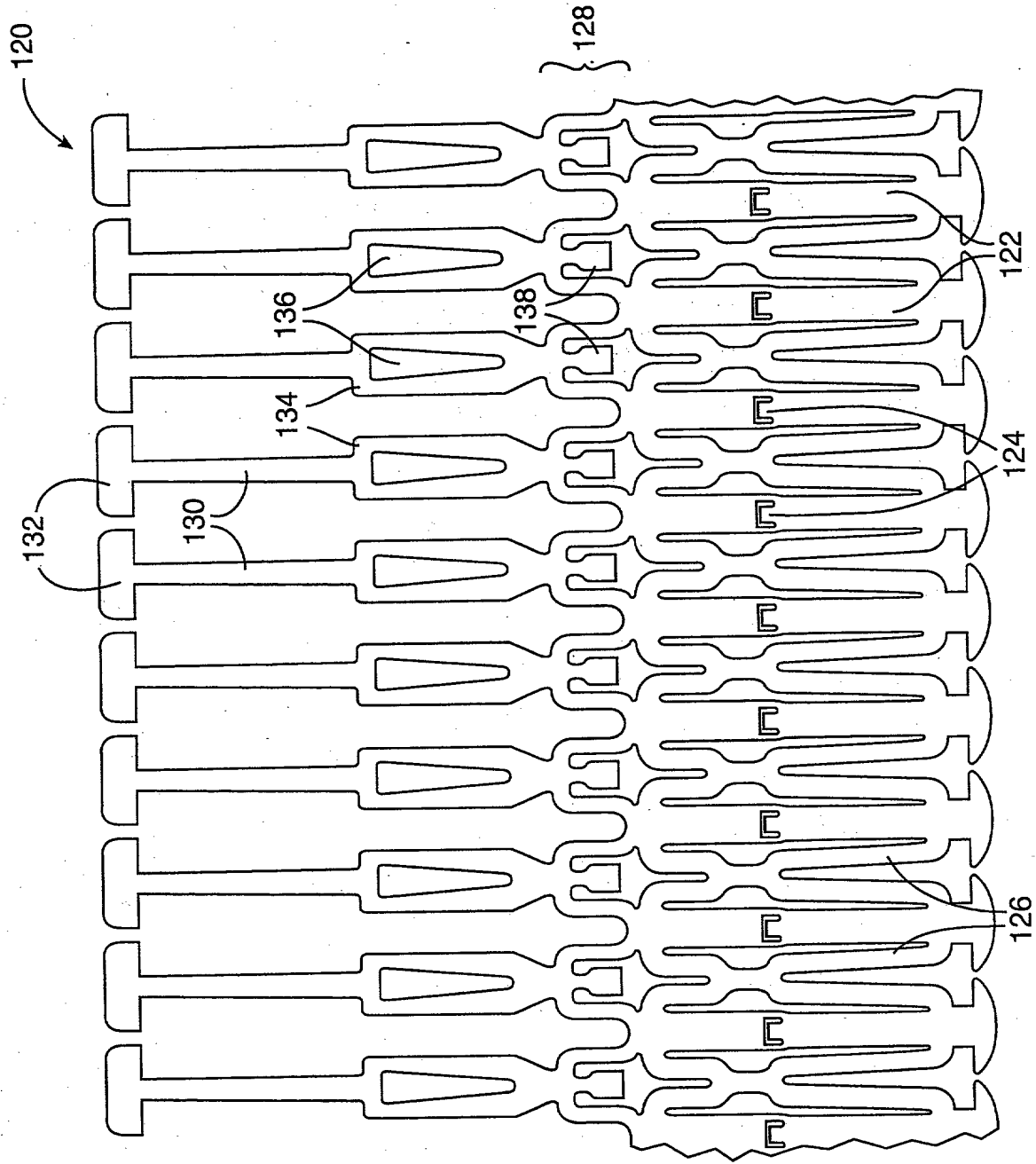


FIG. 12

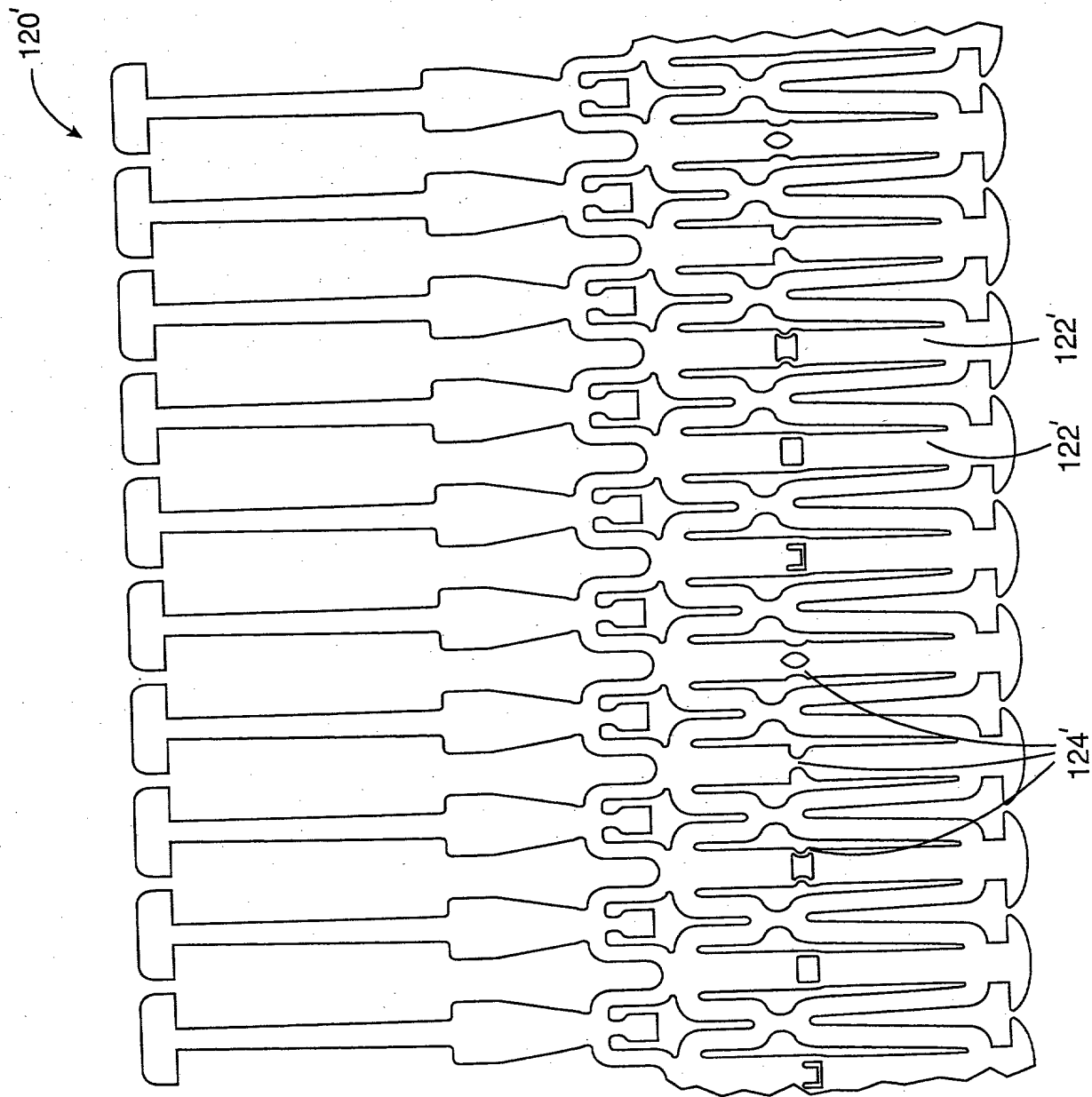


FIG. 13

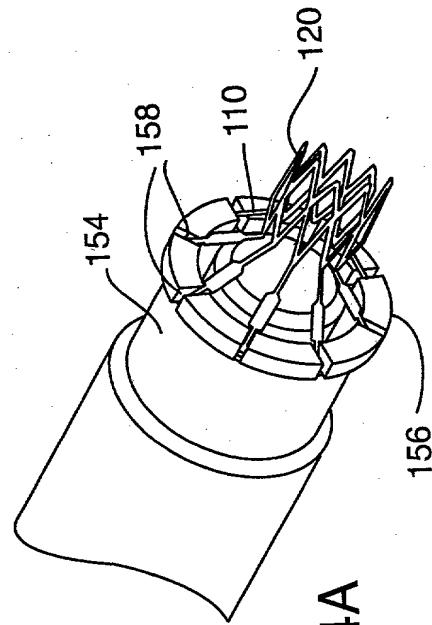
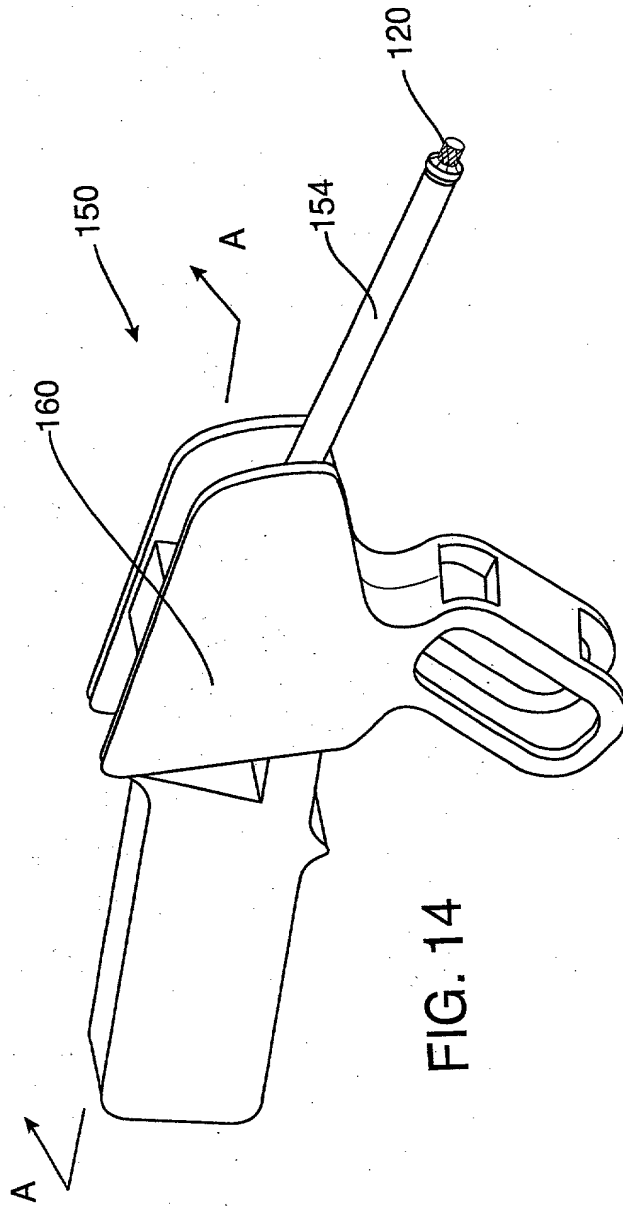


FIG. 14A



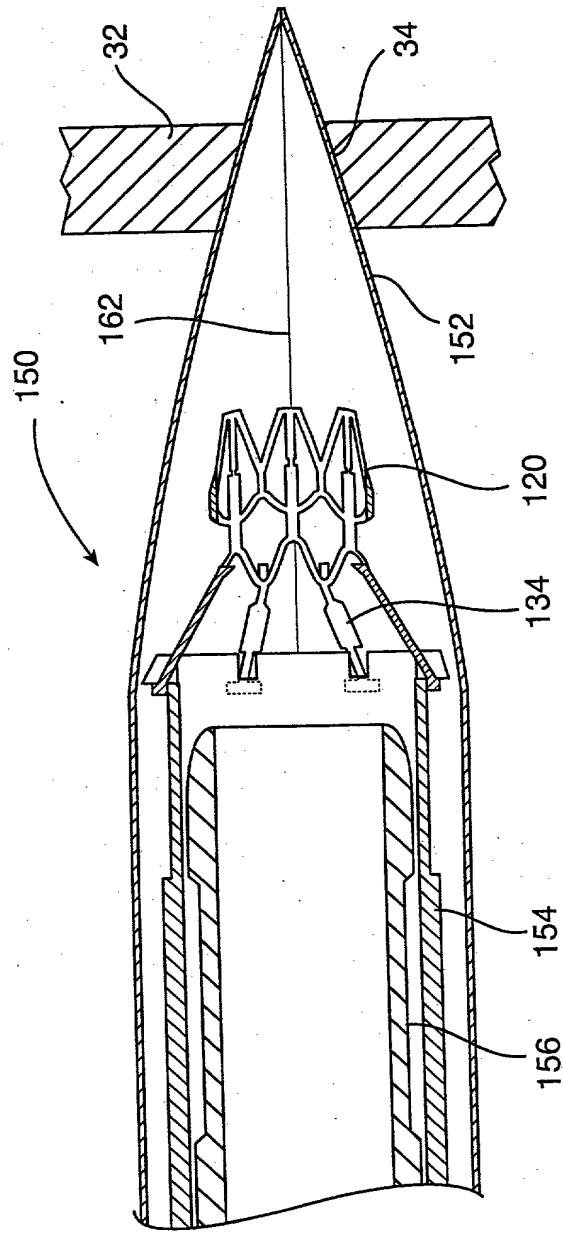


FIG. 15

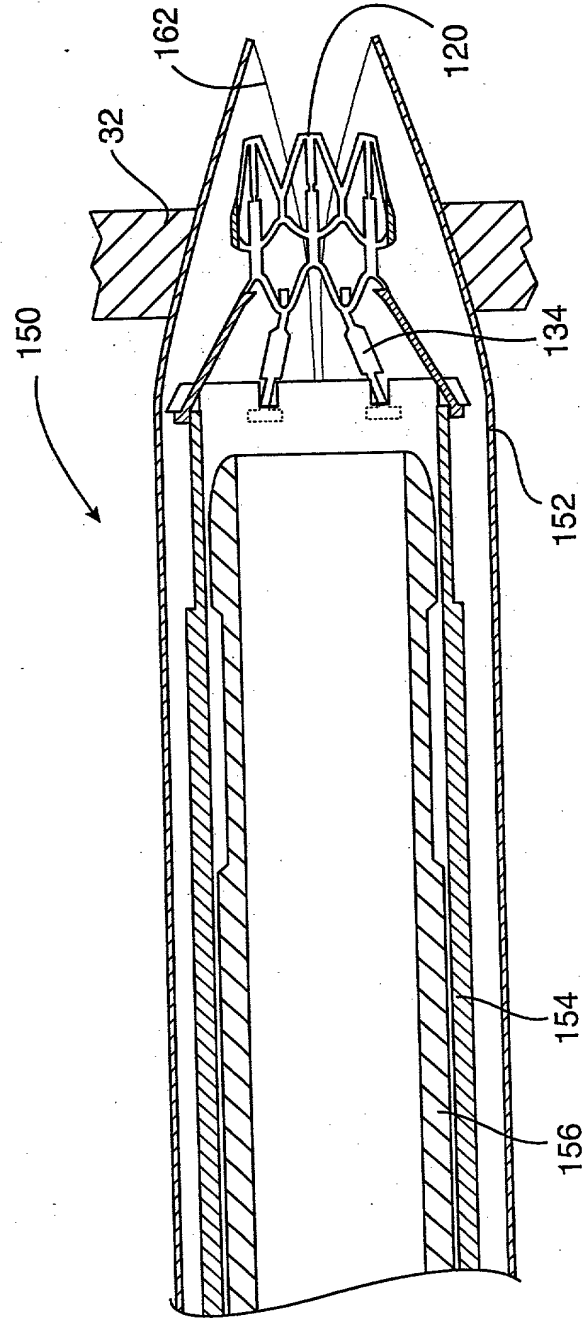


FIG. 16

FIG. 17

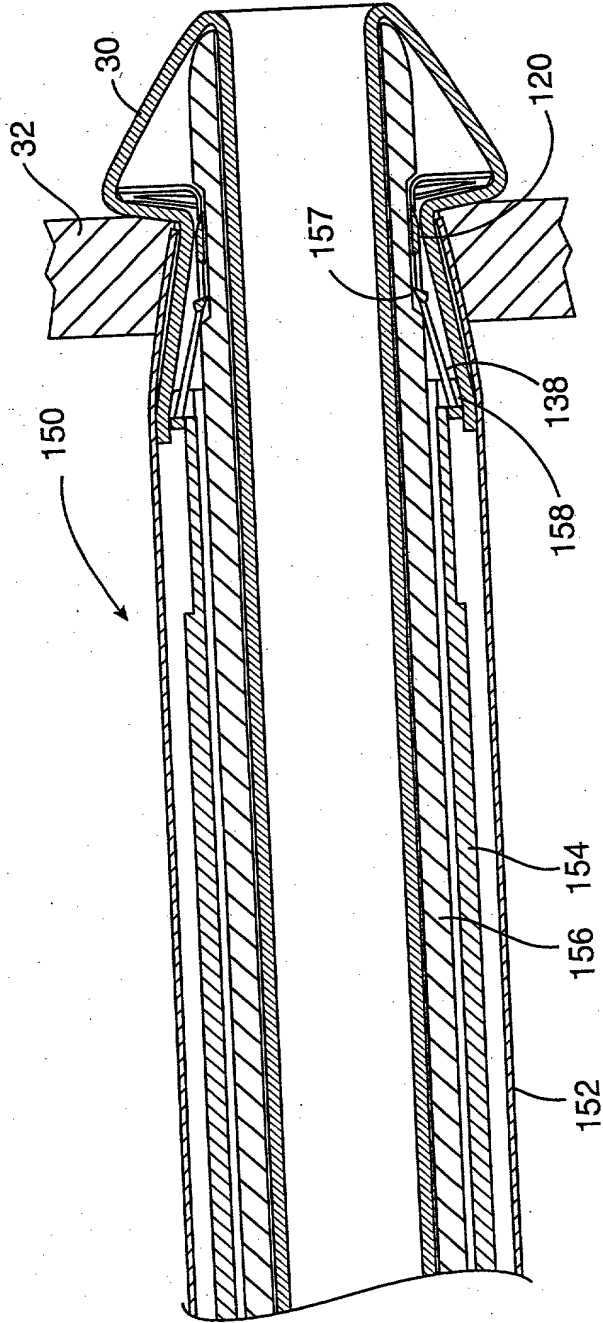


FIG. 18

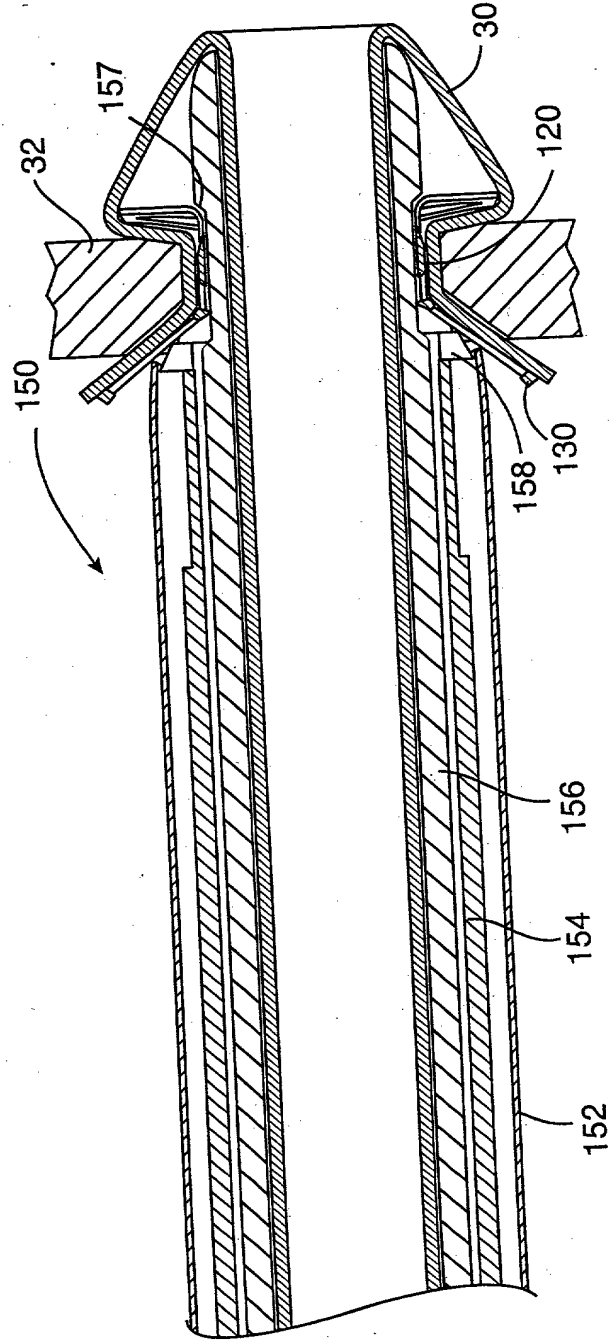
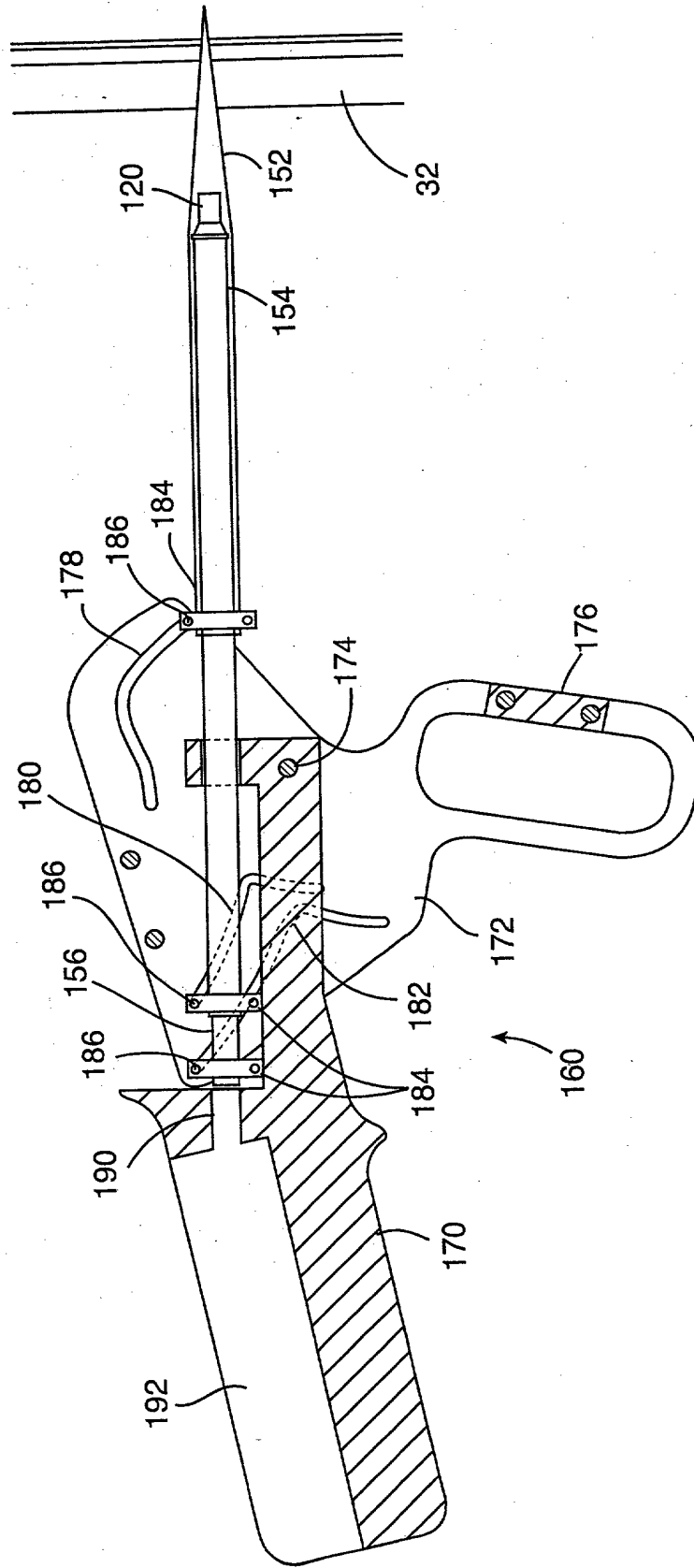


FIG. 19



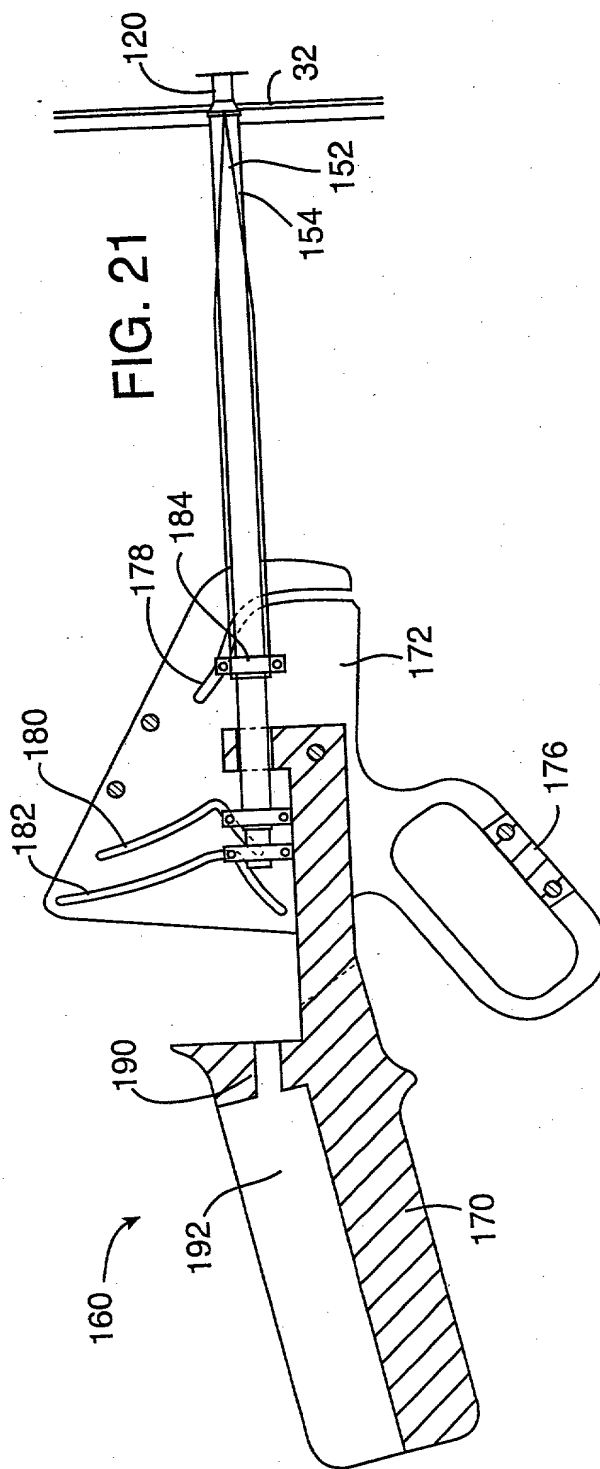
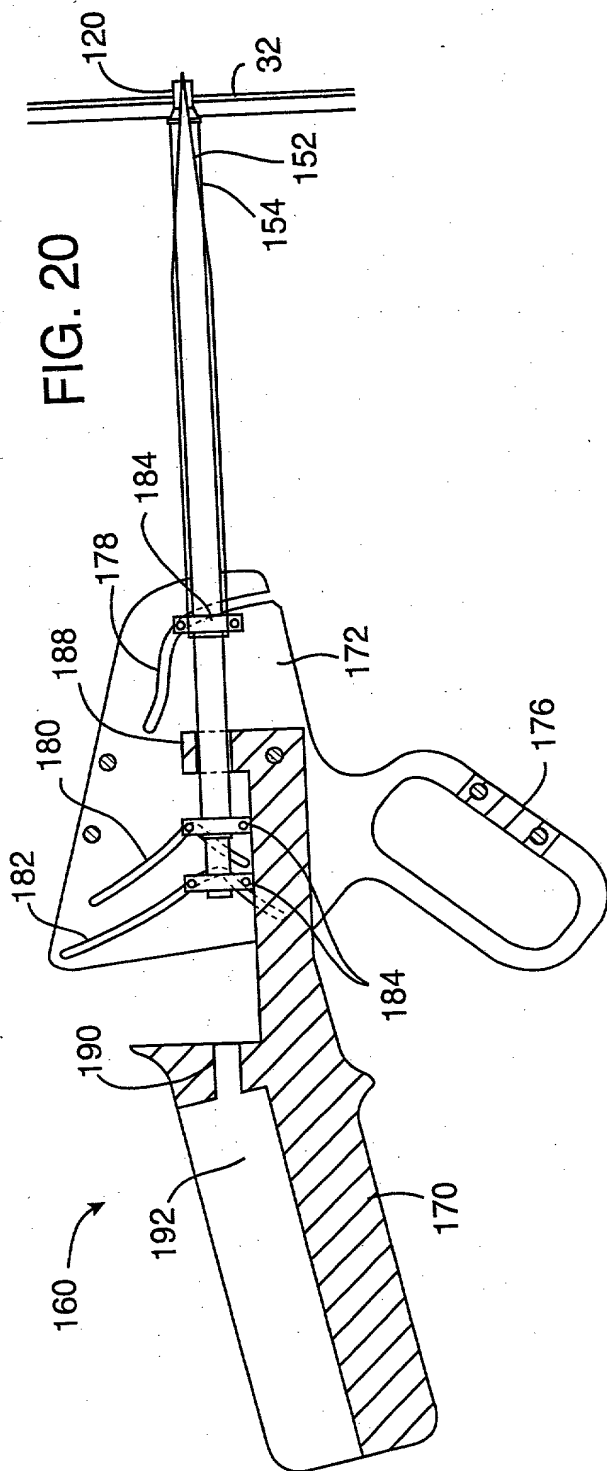


FIG. 22

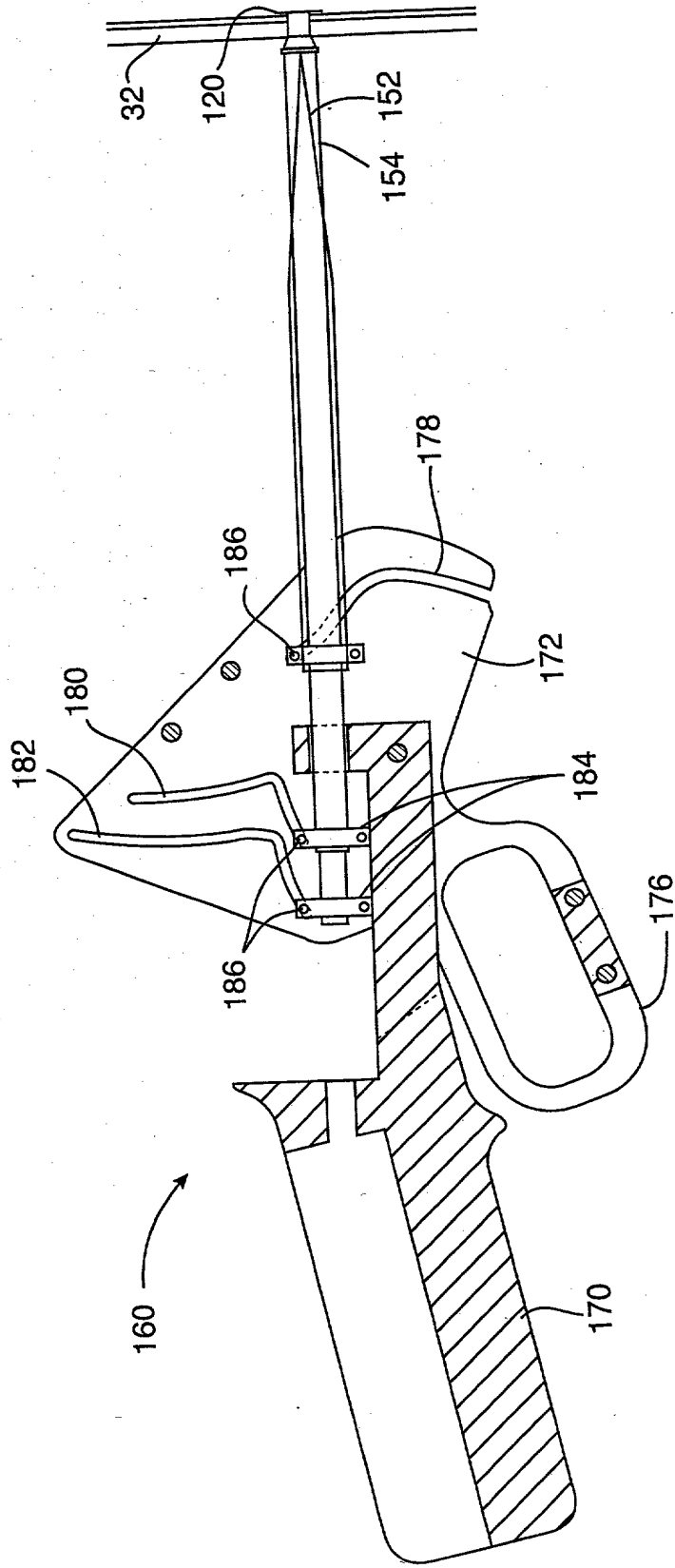


FIG. 23

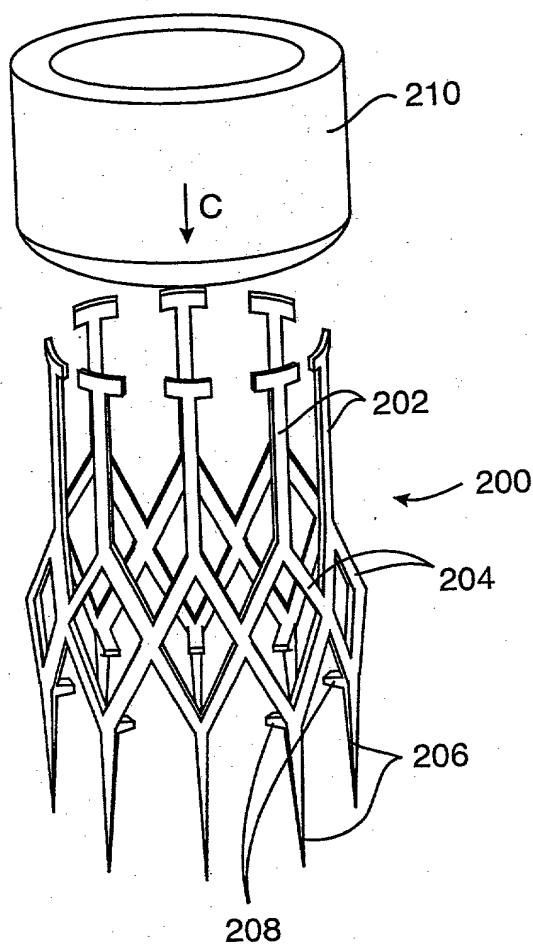


FIG. 23a

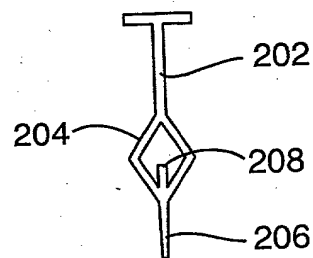
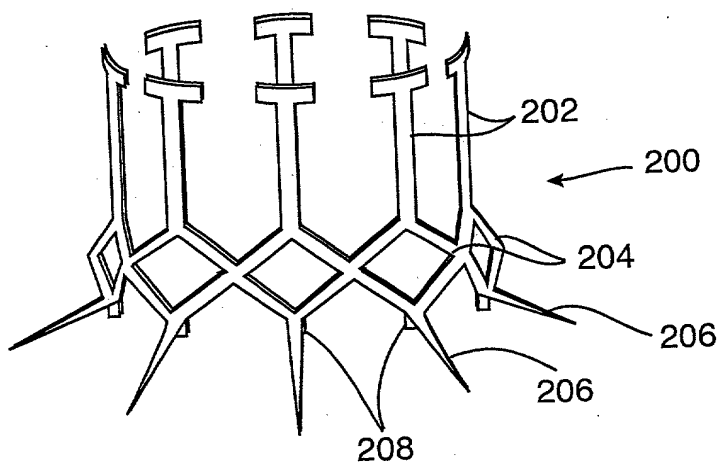


FIG. 24



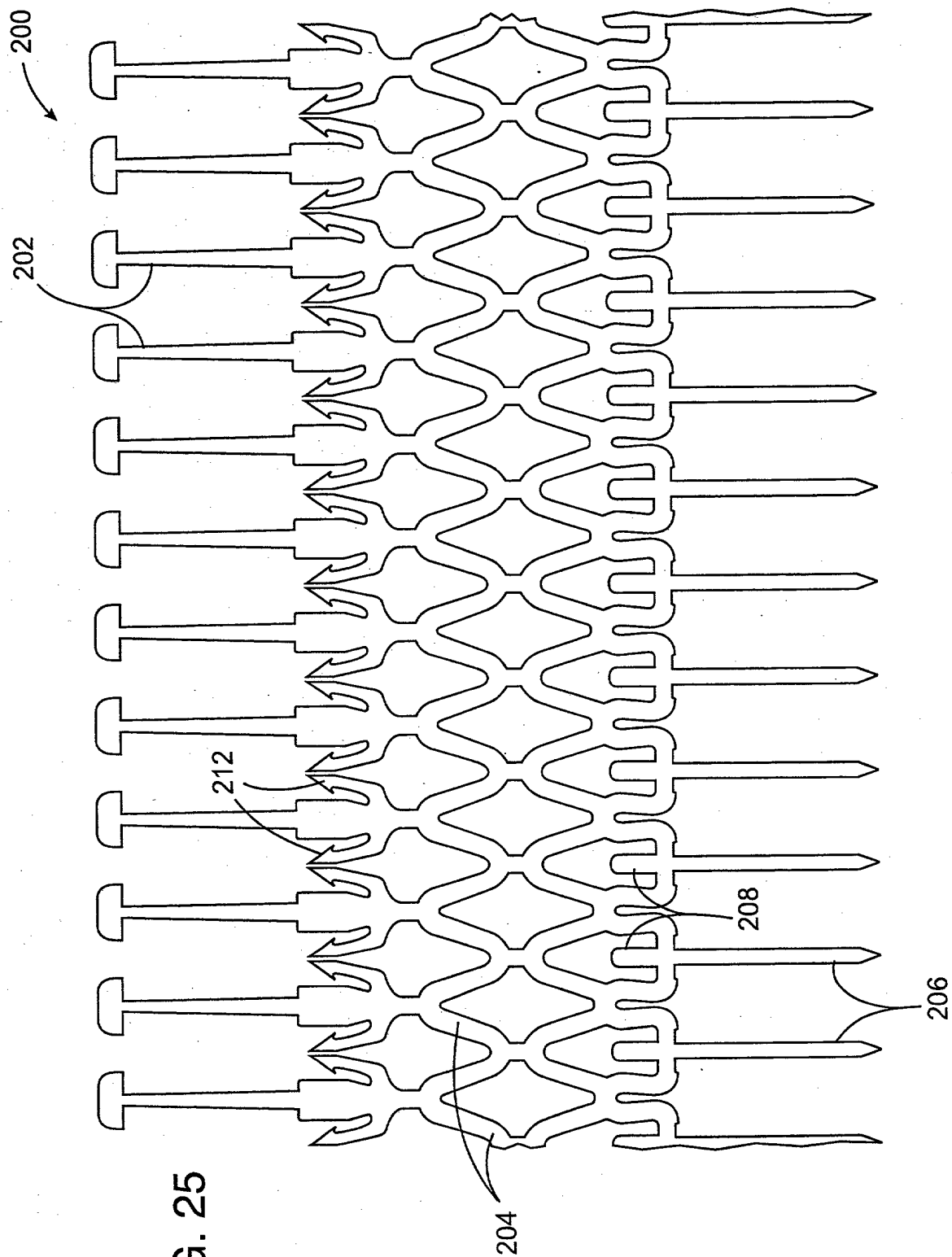


FIG. 25

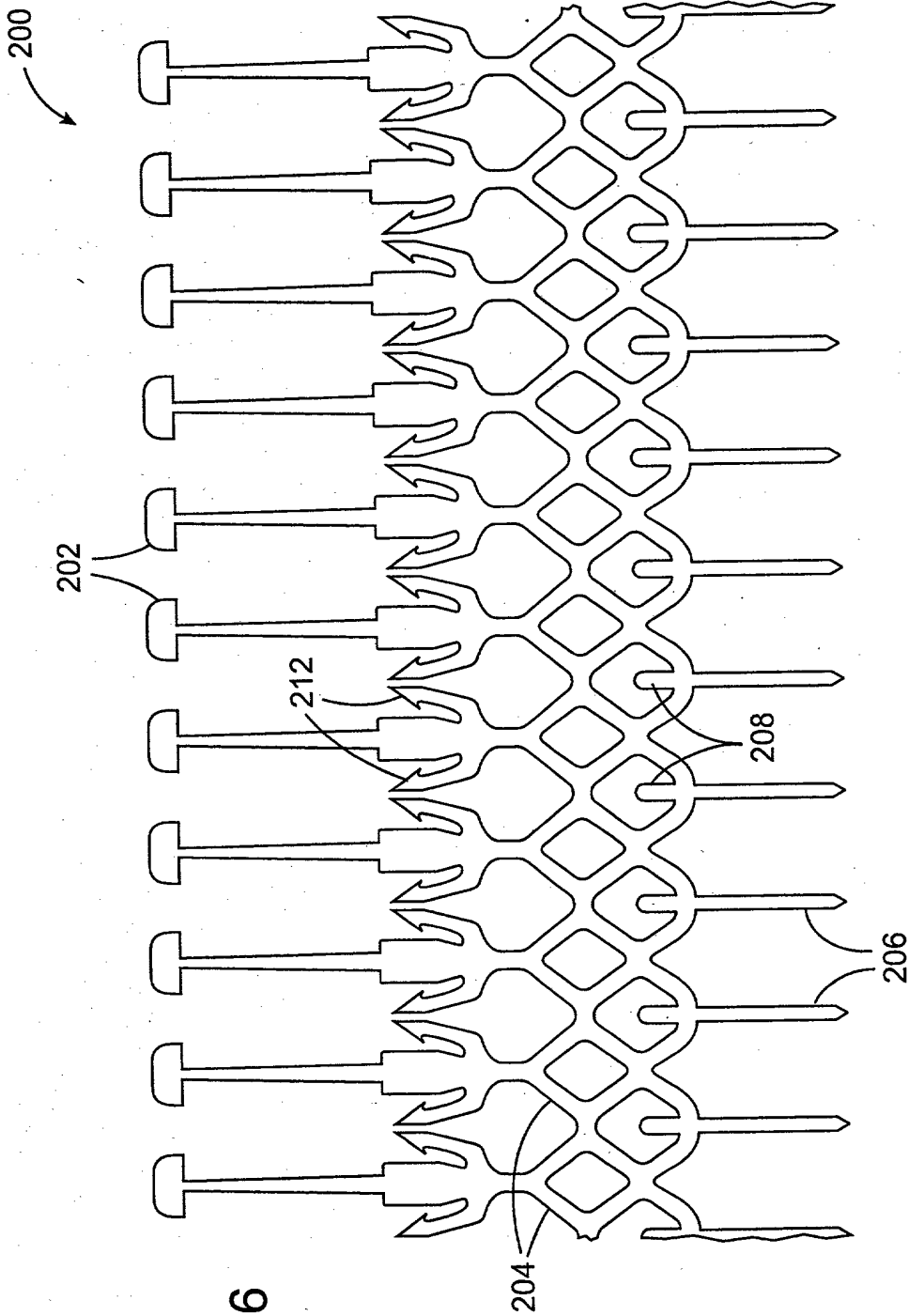


FIG. 26



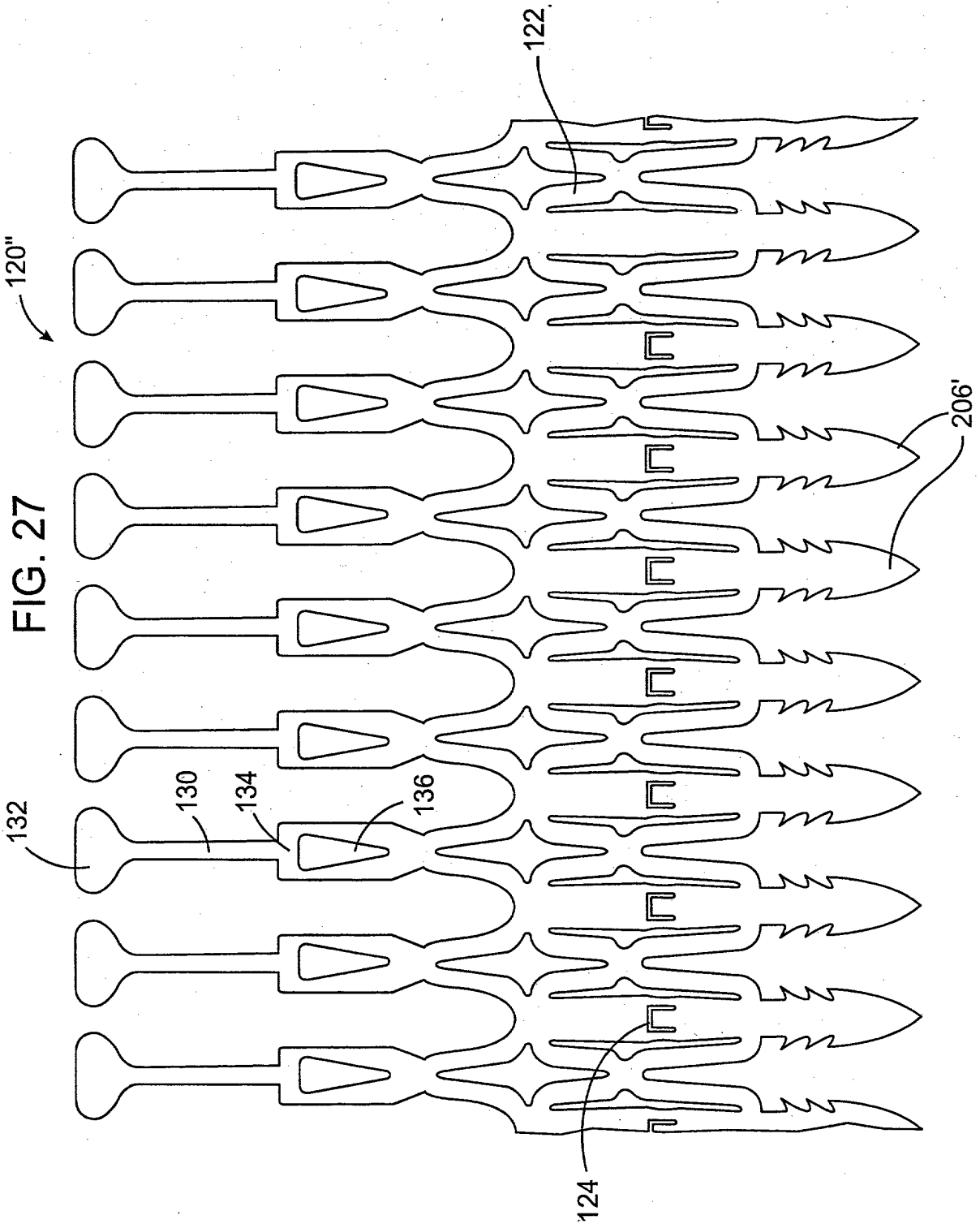


FIG. 28

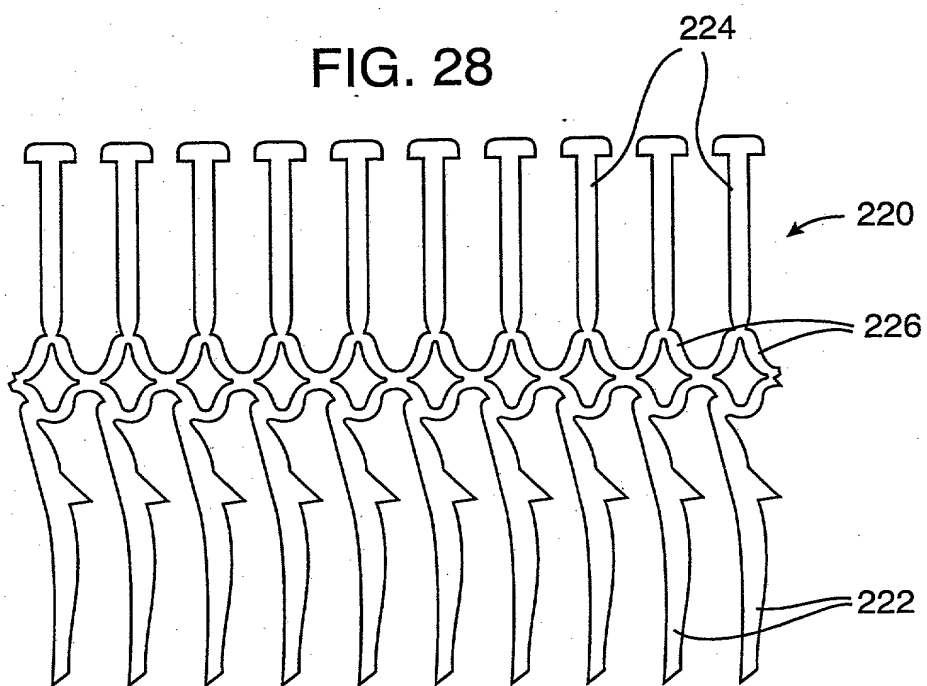
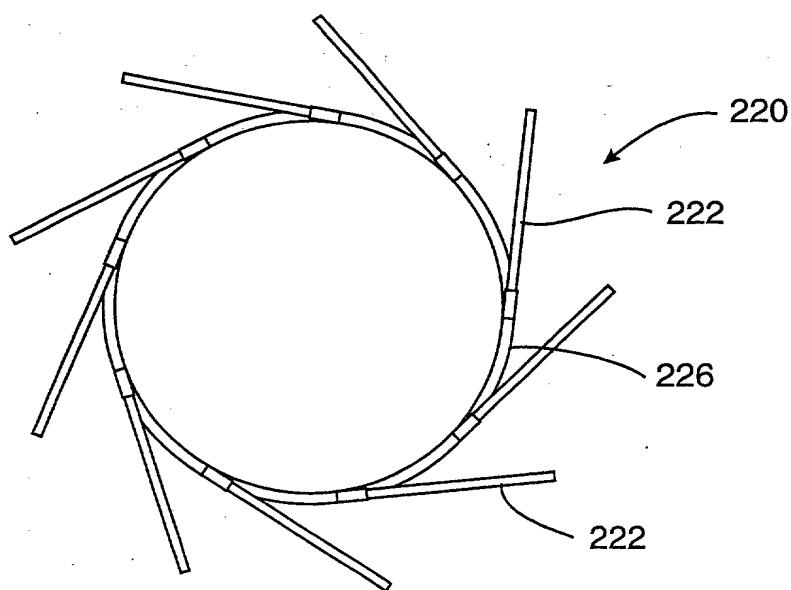


FIG. 29



**COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR UTILITY PATENT APPLICATION**

Attorney's Docket No.  
032405-003

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I BELIEVE I AM THE ORIGINAL, FIRST AND SOLE INVENTOR (if only one name is listed below) OR AN ORIGINAL, FIRST AND JOINT INVENTOR (if more than one name is listed below) OF THE SUBJECT MATTER WHICH IS CLAIMED AND FOR WHICH A PATENT IS SOUGHT ON THE INVENTION ENTITLED:

SUTURELESS CLOSURE AND DEPLOYMENT SYSTEM FOR CONNECTING BLOOD VESSELS

the specification of which

(check one)

☐ is attached hereto;

☒ was filed on May 18, 1999 as

Application No. 09/314,278

and was amended on \_\_\_\_\_;  
(if applicable)

I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE;

I ACKNOWLEDGE THE DUTY TO DISCLOSE TO THE OFFICE ALL INFORMATION KNOWN TO ME TO BE MATERIAL TO PATENTABILITY AS DEFINED IN TITLE 37, CODE OF FEDERAL REGULATIONS, Sec. 1.56 (as amended effective March 16, 1992);

I do not know and do not believe the said invention was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to said application; that said invention was not in public use or on sale in the United States of America more than one year prior to said application; that said invention has not been patented or made the subject of an inventor's certificate issued before the date of said application in any country foreign to the United States of America on any application filed by me or my legal representatives or assigns more than twelve months prior to said application;

I hereby claim foreign priority benefits under Title 35, United States Code Sec. 119 and/or Sec. 365 of any foreign application(s) for patent or inventor's certificate as indicated below and have also identified below any foreign application for patent or inventor's certificate on this invention having a filing date before that of the application(s) on which priority is claimed:

COPY

# COMBINED DECLARATION AND POWER OF ATTORNEY

Attorney's Docket No.  
032405-003

COUNTRY/INTERNATIONAL	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
			YES_ NO_
			YES_ NO_

I hereby appoint the following attorneys and agent(s) to prosecute said application and to transact all business in the Patent and Trademark Office connected therewith and to file, prosecute and to transact all business in connection with international applications directed to said invention:

William L. Mathis	17,337	George A. Hovanec, Jr.	28,223	Peter K. Skiff	31,917
Peter H. Smolka	15,913	James A. LaBarre	28,632	Richard J. McGrath	29,195
Robert S. Swecker	19,885	E. Joseph Gess	28,510	Matthew L. Schneider	32,814
Platon N. Mandros	22,124	R. Danny Huntington	27,903	Michael G. Savage	32,596
Benton S. Duffett, Jr.	22,030	Eric H. Weisblatt	30,505	Gerald F. Swiss	30,113
Norman H. Stepno	22,716	James W. Peterson	26,057	Michael J. Ure	33,089
Ronald L. Grudziecki	24,970	Teresa Stanek Rea	30,427	Charles F. Wieland III	33,096
Frederick G. Michaud, Jr.	26,003	Robert E. Krebs	25,885	Bruce T. Wieder	33,815
Alan E. Kopecki	25,813	William C. Rowland	30,888	Todd R. Walters	34,040
Regis E. Slutter	26,999	T. Gene Dillahunt	25,423	Ronni S. Jillions	31,979
Samuel C. Miller, III	27,360	Patrick C. Keane	32,858	Harold R. Brown III	36,341
Ralph L. Freeland, Jr.	16,110	Bruce J. Boggs, Jr.	32,344	Allen R. Baum	36,086
Robert G. Mukai	28,531	William H. Benz	25,952	Steven M. du Bois	35,023

and: Cindy A. Lynch, Reg. No. 38,699

Address all correspondence to: James W. Peterson  
BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, Virginia 22313-1404

Address all telephone calls to: Cindy A. Lynch at (650) 854-7400.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR	SIGNATURE	DATE
Jaime Vargas	<i>Jaime J. Vargas</i>	7/26/99
RESIDENCE	CITIZENSHIP	
879 Clara Drive, Palo Alto, CA 94303	United States	
POST OFFICE ADDRESS		
Same as above		
FULL NAME OF SECOND JOINT INVENTOR, IF ANY	SIGNATURE	DATE
Stephen A. Yencho	<i>SA Yencho</i>	7/26/99
RESIDENCE	CITIZENSHIP	
320 Linfield Drive, Menlo Park, CA 94025	United States	
POST OFFICE ADDRESS		
Same as above		
FULL NAME OF THIRD JOINT INVENTOR, IF ANY	SIGNATURE	DATE
Jamey Nielsen	<i>Jamey Nielsen</i>	7/26/99
RESIDENCE	CITIZENSHIP	
163 Hearst Avenue, San Francisco, CA 94131	United States	
POST OFFICE ADDRESS		
Same as above		

COPY

COMBINED DECLARATION AND POWER OF ATTORNEY		Attorney's Docket No. 032405-003	
FULL NAME OF FOURTH JOINT INVENTOR, IF ANY Michael Hendricksen	SIGNATURE <i>Michael Hendricksen</i>	DATE 7/29/99	
RESIDENCE 612 Cambridge Avenue No. 4, Menlo Park, CA 94025		CITIZENSHIP United States	
POST OFFICE ADDRESS Same as above			
FULL NAME OF FIFTH JOINT INVENTOR, IF ANY Bernard A. Hausen	SIGNATURE <i>Bernard A. Hausen</i>	DATE 7-29/99	
RESIDENCE 1024 Mallet Court, Menlo Park, CA 94025		CITIZENSHIP United States	
POST OFFICE ADDRESS Same as above			
FULL NAME OF SIXTH JOINT INVENTOR, IF ANY	SIGNATURE	DATE	
RESIDENCE	CITIZENSHIP		
POST OFFICE ADDRESS			
FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY	SIGNATURE	DATE	
RESIDENCE	CITIZENSHIP		
POST OFFICE ADDRESS			
FULL NAME OF EIGHTH JOINT INVENTOR, IF ANY	SIGNATURE	DATE	
RESIDENCE	CITIZENSHIP		
POST OFFICE ADDRESS			
FULL NAME OF NINTH JOINT INVENTOR, IF ANY	SIGNATURE	DATE	
RESIDENCE	CITIZENSHIP		
POST OFFICE ADDRESS			
FULL NAME OF TENTH JOINT INVENTOR, IF ANY	SIGNATURE	DATE	
RESIDENCE	CITIZENSHIP		
POST OFFICE ADDRESS			
FULL NAME OF ELEVENTH JOINT INVENTOR, IF ANY	SIGNATURE	DATE	
RESIDENCE	CITIZENSHIP		
POST OFFICE ADDRESS			
FULL NAME OF TWELFTH JOINT INVENTOR, IF ANY	SIGNATURE	DATE	
RESIDENCE	CITIZENSHIP		
POST OFFICE ADDRESS			

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**  
(Includes Reference to Provisional and PCT International Applications)

Attorney's Docket No.

032405-003

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SUTURELESS CLOSURE AND DEPLOYMENT SYSTEM FOR CONNECTING BLOOD VESSELS

the specification of which (check only one item below):

☐ is attached hereto.

☒ was filed as United States application

Number 09/314,278

on May 18, 1999

and was amended

on August 9, 1999, and March 29, 2000 (if applicable).

☐ was filed as PCT international application

Number \_\_\_\_\_

on \_\_\_\_\_

and was amended

on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(e) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119:**

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. § 119
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

**COPY**

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (CONT'D)**  
(Includes Reference to Provisional and PCT International Applications)

Attorney's Docket No.

032405-003

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Office all information known to me to be material to the patentability as defined in Title 37, Code of Federal Regulations §1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. §120:

U.S. APPLICATIONS		STATUS (check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
09/132,711	AUGUST 12, 1998		X	
PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NO.	PCT FILING DATE	U.S. APPLICATION NUMBERS ASSIGNED (if any)		

I hereby appoint the following attorneys and agent(s) to prosecute said application and to transact all business in the Patent and Trademark Office connected therewith and to file, prosecute and to transact all business in connection with international applications directed to said invention:

William L. Mathis 17,337  
Robert S. Swecker 19,885  
Platon N. Mandros 22,124  
Benton S. Duffett, Jr. 22,030  
Norman H. Stepno 22,716  
Ronald L. Grudziecki 24,970  
Frederick G. Michaud, Jr. 26,003  
Alan E. Kopecki 25,813  
Regis E. Slutter 26,999  
Samuel C. Miller, III 27,360  
Robert G. Mukai 28,531  
George A. Hovanec, Jr. 28,223  
James A. LaBarre 28,632  
E. Joseph Gess 28,510

R. Danny Huntington 27,903  
Eric H. Weisblatt 30,505  
James W. Peterson 26,057  
Teresa Stanek Rea 30,427  
Robert E. Krebs 25,885  
William C. Rowland 30,888  
T. Gene Dillahunty 25,423  
Patrick C. Keane 32,858  
Bruce J. Boggs, Jr. 32,344  
William H. Benz 25,952  
Peter K. Skiff 31,917  
Richard J. McGrath 29,195  
Matthew L. Schneider 32,814  
Michael G. Savage 32,596

Gerald F. Swiss 30,113  
Charles F. Wieland III 33,096  
Bruce T. Wieders 33,815  
Todd R. Walters 34,040  
Ronni S. Jillions 31,979  
Harold R. Brown III 36,341  
Allen R. Baum 36,086  
Steven M. du Bois 35,023  
Brian P. O'Shaughnessy 32,747  
Kenneth B. Leffler 36,075  
Fred W. Hathaway 32,236



21839

and: Wendi L. Weinstein, Reg. No. 34,456 and Cindy A. Lynch, Reg. No. 38,699

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Address all telephone calls to: Wendi L. Weinstein at (703) 836-6620.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

COPY

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (CONT'D)**  
(Includes Reference to Provisional and PCT International Applications)

Attorney's Docket No.

032405-003

FULL NAME OF SOLE OR FIRST INVENTOR		SIGNATURE	DATE
JAI ME VARGAS		<i>Jaime S. Vargas</i>	8/21/00
RESIDENCE		CITIZENSHIP	
PALO ALTO, CA		U.S.A.	
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STEPHEN A. YENCHO		<i>[Signature]</i>	8/19/00
RESIDENCE		CITIZENSHIP	
MENLO PARK, CA		U.S.A.	
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FULL NAME OF THIRD JOINT INVENTOR, IF ANY		SIGNATURE	DATE
JAMEY NIELSEN		<i>[Signature]</i>	8/14/00
RESIDENCE		CITIZENSHIP	
SAN FRANCISCO, CA		U.S.A.	
POST OFFICE ADDRESS			
163 HEARST AVENUE, SAN FRANCISCO, CA 94131			
FULL NAME OF FOURTH JOINT INVENTOR, IF ANY		SIGNATURE	DATE
MICHAEL HENDRICKSEN		<i>[Signature]</i>	8/14/00
RESIDENCE		CITIZENSHIP	
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612 CAMBRIDGE AVENUE, NO. 4, MENLO PARK, CA 94025			
FULL NAME OF FIFTH JOINT INVENTOR, IF ANY		SIGNATURE	DATE
BERNARD A. HAUSEN		<i>[Signature]</i>	8/19/00
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MENLO PARK, CA		U.S.A.	
POST OFFICE ADDRESS			
1024 MALLET COURT, MENLO PARK, CA 94025			
FULL NAME OF SIXTH JOINT INVENTOR, IF ANY		SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			
FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY		SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			
FULL NAME OF EIGHTH JOINT INVENTOR, IF ANY		SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			

**COPY**